



BNY Mellon Capital Markets EMEA Ltd  
One Canada Square  
41<sup>st</sup> Floor  
London  
E14 5AL  
United Kingdom

**FAO The Tax Division  
Association for Financial Markets in Europe  
39<sup>th</sup> Floor  
25 Canada Square  
London  
E14 5LQ  
United Kingdom**

16 November 2017

Dear Sirs

**AFME French Financial Transactions Tax Protocol – Adherence Letter**

**1. ADHERENCE**

The purpose of this letter is to declare our adherence and that of each other party whose name is listed in paragraph 2 below (for whom we act as agent for the purposes of this Adherence Letter), to the AFME French Financial Transactions Tax Protocol (the *Protocol*).

By executing this letter, we make the representations set out in article 5 of the Protocol and confirm our intention to be bound by the terms of the Protocol as amended from time to time. This letter constitutes an Adherence Letter as referred to in the Protocol.

The definitions and provisions contained in the Protocol are incorporated into this Adherence Letter.

**2. PARTIES TO WHOM THIS ADHERENCE LETTER APPLIES**

Name of Adhering Party	BNYM Capital Markets EMEA Limited
BIC	BMCKGB21
DTC ID	443
Euroclear Number	45604
UK FCA Number	580200
LEI	21380005FBGOWU89LN14

Each party listed above shall be a separate Adhering Party.



## BNY MELLON

We hereby confirm that we deal on our own account and are duly licensed for dealing on own account and/or we execute orders on behalf of clients and are duly licensed for the execution of orders on behalf of clients.

We provide a link to the relevant regulator's register of investment service providers to confirm our accreditation

[https://register.fca.org.uk/ShPo\\_FirmDetailsPage?id=001b000000NMdZLAA1](https://register.fca.org.uk/ShPo_FirmDetailsPage?id=001b000000NMdZLAA1)

### **3. APPOINTMENT AS ADMINISTRATOR AND RELEASE**

We hereby appoint AFME as administrator for the limited purposes of the Protocol and accordingly we waive, and hereby release AFME from, any rights, claims, actions or causes of action whatsoever (whether in contract, tort or otherwise) arising out of or in any way relating to this Adherence Letter or our adherence to the Protocol or any actions contemplated as being required by AFME.

### **4. CONTACT DETAILS<sup>1</sup>**

Our contact details for the purposes of the Protocol are:

Name: BNYM Capital Markets EMEA Limited  
FAO: James Edwards  
Address: One Canada Square, 41st Floor, London, E14 5AL, UK  
Telephone: 0207 163 7375  
E-mail: CapitalMarketsEMEA@bnymellon.com

We undertake to notify AFME of any changes to these details at any time throughout our adherence to the Protocol, by delivering to AFME by (i) certified or registered mail (or airmail, if overseas) or equivalent; (ii) courier; or (iii) personal delivery to AFME's address set out in this Adherence Letter, two copies of such notice: one a manually signed original and the other a conformed copy containing, in place of each signature, the printed or typewritten name of each signatory. In addition, we will send scanned pdf copies of the manually signed original and conformed copies of the notice of change of details to AFME at [fttprotocol@afme.eu](mailto:fttprotocol@afme.eu).

We agree to the publication by AFME of the conformed copy of any such notice.

Yours faithfully

Signed by: JAMES EDWARDS

Name: James Edwards

Title: CEO BNYM Capital Markets EMEA Limited.

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<sup>1</sup> To the extent that each adhering entity does not share the same contact details, please provide the contact details for each adhering entity.



BNY MELLON