

FAO: The Tax Division  
**Association for Financial Markets in Europe**  
39th Floor  
25 Canada Square  
London, E14 5LQ

6<sup>th</sup> Floor  
Basildon House  
7 Moorgate  
London, EC2R 6AF  
United Kingdom

5 January 2021

Tel: +44 (0)207 031 0620

Dear Sirs

**AFME Spanish Financial Transactions Tax Protocol – Adherence Letter**

**1. Adherence**

The purpose of this letter is to declare our adherence and that of each other party whose name is listed in paragraph 2 below (for whom we act as agent for the purposes of this Adherence Letter) (each a **Group Adhering Party**), to the AFME Spanish Financial Transactions Tax Protocol (the **Protocol**).

By executing this letter, on our behalf and on behalf of each Group Adhering Party, we make the representations set out in article 6 of the Protocol and confirm our intention to be bound by the terms of the Protocol as amended from time to time. We represent and warrant that we are authorised to submit this letter on behalf of each Group Adhering Party. This letter constitutes an Adherence Letter as referred to in the Protocol.

The definitions and provisions contained in the Protocol are incorporated into this Adherence Letter.

**2. Corporate Group Parties to whom this Adherence Letter applies**

Xconnect Trading Limited  
(BIC: CONXGB21, FCA FRN: 403317, LEI: 2138008NIL42YTU4S857)

Each party listed above shall be a separate Adhering Party.

We hereby confirm that each adhering party listed above deals on its own account and is duly licensed for dealing on own account and/or it executes orders on behalf of clients and is duly licensed for the execution of orders on behalf of clients.

We provide a link to the relevant regulator's register of investment service providers to confirm our accreditation.

<https://register.fca.org.uk/s/firm?id=001b000000Mfx8iAAF>

**3. Appointment as Administrator and Release**

We hereby appoint AFME as administrator for the limited purposes of the Protocol and accordingly we waive, and hereby release AFME from, any rights, claims, actions or causes of action whatsoever (whether in

contract, tort or otherwise) arising out of or in any way relating to this Adherence Letter or our adherence to the Protocol or any actions contemplated as being required by AFME.

#### **4. Contact Details**

Our contact details for the purposes of the Protocol are:

Name: Adrian Chorley

FAO: Legal & Compliance

Address: 6<sup>th</sup> Floor, Basildon House, 7-11 Moorgate, London, EC2R 6AF.

Telephone: 02070310620

Fax:

E-mail: [legal.compliance@xconnecttrading.com](mailto:legal.compliance@xconnecttrading.com)

Our contact details for receipt of invoices for Adherence Fees are:

Organisation:

FAO: Xconnect Trading Limited

Billing Address: 6th Floor, Basildon House, 7-11 Moorgate

City: London

Post Code: EC2R 6AF

Country: United Kingdom

VAT Number: 123 3292 45

We undertake to notify AFME of any changes to these details at any time throughout our adherence to the Protocol, by either:

- (a) delivering to AFME by (i) certified or registered mail (or airmail, if overseas) or equivalent; (ii) courier; or (iii) personal delivery to AFME's address set out in this Adherence Letter, two copies of such notice: one a manually signed original and the other a conformed copy containing, in place of each signature, the printed or typewritten name of each signatory; and we will also send scanned pdf copies of the manually signed original and conformed copies of the notice of change of details to AFME at [fttprotocol@afme.eu](mailto:fttprotocol@afme.eu); or
- (b) sending to AFME at [fttprotocol@afme.eu](mailto:fttprotocol@afme.eu) an electronically signed original and a conformed copy of such notice.

We agree to the publication by AFME of the conformed copy of any such notice.

Yours faithfully

Adrian Chorley  
Finance Director  
**Xconnect Trading Limited**