

FAO The Tax Division
Association for Financial Markets in Europe
39<sup>th</sup> Floor
25 Canada Square
London
E14 5LQ
United Kingdom

Frankfurt am Main, 02 March 2021

Dear Sirs

# AFME Spanish Financial Transactions Tax Protocol – Adherence Letter

#### 1. ADHERENCE

The purpose of this letter is to declare our adherence and that of each other party whose name is listed in paragraph 2 below (for whom we act as agent for the purposes of this Adherence Letter) (each a *Group Adhering Party*), to the AFME Spanish Financial Transactions Tax Protocol (the *Protocol*).

By executing this letter, on our behalf and on behalf of each Group Adhering Party, we make the representations set out in article 6 of the Protocol and confirm our intention to be bound by the terms of the Protocol as amended from time to time. We represent and warrant that we are authorised to submit this letter on behalf of each Group Adhering Party. This letter constitutes an Adherence Letter as referred to in the Protocol.

The definitions and provisions contained in the Protocol are incorporated into this Adherence Letter.



## 2. CORPORATE GROUP PARTIES TO WHOM THIS ADHERENCE LETTER APPLIES

ODDO BHF Corporates & Markets AG Schillerstrasse 27 - 29 60313 Frankfurt am Main Germany

Company Registration Number: HE-Frankfurt\_am\_Main\_HRB\_43507

BIC: SEYDDEF1

VAT-Id.Nr.: DE165980648

Legal Entity Identifier: 529900CDDKNSPWIAOP87

Each party listed above shall be a separate Adhering Party.

We hereby confirm that each adhering party listed above deals on its own account and is duly licensed for dealing on own account and/or it executes orders on behalf of clients and is duly licensed for the execution of orders on behalf of clients.

We provide a link to the relevant regulator's register of investment service providers to confirm our accreditation

BaFin-ID: 20000079

https://portal.mvp.bafin.de/database/InstInfo/institutDetails.do?cmd=loadInstitutAction&institutId=110306

### 3. APPOINTMENT AS ADMINISTRATOR AND RELEASE

We (on our own behalf and as agent for all adhering parties listed above) hereby appoint AFME as administrator for the limited purposes of the Protocol and accordingly we waive, and hereby release AFME from, any rights, claims, actions or causes of action whatsoever (whether in contract, tort or otherwise) arising out of or in any way relating to this Adherence Letter or our adherence to the Protocol or any actions contemplated as being required by AFME.



#### CONTACT DETAILS 4.

Our contact details for the purposes of the Protocol are:

Name:

ODDO BHF Corporate & Markets AG

Head of Trade Operations:

José Fernández

Address:

Schillerstrasse 27-29, 60313 Frankfurt am Main

Telephone:

+49 / (0)69 / 92054-316 +49 / (0)69 / 92054-915

Fax: E-mail:

top@oddo-bhf-cm.com

We undertake to notify AFME of any changes to these details at any time throughout our adherence to the Protocol, by either:

Delivering to AFME by (i) certified or registered mail (or airmail, if overseas) or equivalent; (ii) courier; or (iii) personal delivery to AFME's address set out in this Adherence Letter, two copies of such notice: one a manually signed original and the other a conformed copy containing, in place of each signature, the printed or typewritten name of each signatory; and we will also send scanned pdf copies of the manually signed original and conformed copies of the notice of change of details to AFME at fttprotocol@afme.eu;

We agree to the publication by AFME of the conformed copy of any such notice.

We consent to the publication of the conformed copy of this letter by AFME and to the disclosure by AFME of the contents of this letter.

Yours faithfully

ODDO BHF Corporate & Markets AG

Signed by:

Name: Holger Gröber

Title: Member of the Board

Name: José Fernández

Title: Head of Trade Operations