



FAO The Tax Division  
Association for Financial Markets in Europe  
39<sup>th</sup> Floor  
25 Canada Square  
London, E14 5LQ

Deutsche Bank AG, London branch  
1 Great Winchester Street  
London  
EC2N 2DB

## AFME Spanish Financial Transactions Tax Protocol – Adherence Letter

22<sup>nd</sup> December 2020

### 1. Adherence

The purpose of this letter is to declare our adherence and that of each other party whose name is listed in paragraph 2 below (for whom we act as agent for the purposes of this Adherence Letter) (each a **Group Adhering Party**), to the AFME Spanish Financial Transactions Tax Protocol (the **Protocol**).

By executing this letter, on our behalf and on behalf of each Group Adhering Party, we make the representations set out in article 6 of the Protocol and confirm our intention to be bound by the terms of the Protocol as amended from time to time. We represent and warrant that we are authorised to submit this letter on behalf of each Group Adhering Party. This letter constitutes an Adherence Letter as referred to in the Protocol.

The definitions and provisions contained in the Protocol are incorporated into this Adherence Letter.



Chairman of the Supervisory Board: Paul Achleitner.  
Management Board: Christian Sewing (Chairman), Karl von Rohr, Fabrizio Campelli, Frank Kuhnke, Bernd Leukert, Stuart Lewis, James von Moltke, Alexander von zur Mühlen, Christiana Riley, Stefan Simon.

Deutsche Bank AG is authorised under German Banking Law (competent authorities: European Central Bank and the BaFin, Germany's Federal Financial Supervisory Authority) and, in the United Kingdom, by the Prudential Regulation Authority. It is subject to supervision by the European Central Bank and by the BaFin, and is subject to limited regulation in the United Kingdom by the Financial Conduct Authority and the Prudential Regulation Authority.

Deutsche Bank AG is a joint stock corporation with limited liability incorporated in the Federal Republic of Germany, Local Court of Frankfurt am Main, HRB No. 30 000; Branch Registration in England and Wales BR000005 and Registered Address: Winchester House, 1 Great Winchester Street, London EC2N 2DB. Deutsche Bank AG, London Branch is a member of the London Stock Exchange. (Details about the extent of our authorisation and regulation in the United Kingdom are available on request or from [www.db.com/en/content/eu\\_disclosures.htm](http://www.db.com/en/content/eu_disclosures.htm))



## 2. Corporate Group Parties to whom this Adherence Letter applies

### **Deutsche Bank AG, London branch**

BIC Code: DEUTGB22

DTC ID: 2312

Euroclear Number: 94934

UK FSA Number: 150018

### **Deutsche Bank AG**

BIC Code: DEUTDEFF

DTC ID: 902

Euroclear Number: 25900

German BAFIN Number 100003

UK FSA Number: N/A

### **Deutsche Bank Securities Inc.**

BIC Code: NWSCUS33

DTC ID: 0573

SEC Number: 8-17822

Each party listed above shall be a separate Adhering Party.

We hereby confirm that each adhering party listed above deals on its own account and is duly licensed for dealing on own account and/or it executes orders on behalf of clients and is duly licensed for the execution of orders on behalf of clients.

We provide a link to the relevant regulator's register of investment service providers to confirm our accreditation:

### **Deutsche Bank AG, London branch**

<https://register.fca.org.uk/s/firm?id=001b000000MfGHfAAN>

### **Deutsche Bank AG**

<https://portal.mvp.bafin.de/database/InstInfo/institutDetails.do?cmd=loadInstitutAction&institutId=100003>



## **Deutsche Bank Securities Inc.**

<https://brokercheck.finra.org/firm/summary/2525>

### 3. Appointment as Administrator and Release

We (on our own behalf and as agent for all adhering parties listed above) hereby appoint AFME as administrator for the limited purposes of the Protocol and accordingly we waive, and hereby release AFME from, any rights, claims, actions or causes of action whatsoever (whether in contract, tort or otherwise) arising out of or in any way relating to this Adherence Letter or our adherence to the Protocol or any actions contemplated as being required by AFME.

### 4. Contact Details<sup>1</sup>

Our contact details for the purposes of the Protocol are:

Name: Robert Casebourne  
Address: 1 Great Winchester Street, London, EC2N 2DB.  
Telephone: 020 7545 7508  
E-mail: robert.casebourne@db.com

Our contact details for receipt of invoices for Adherence Fees are:<sup>2</sup>

#### Organisation:

Name: Katie Vistuer  
Billing Address: 1 Great Winchester Street  
City: London  
Post Code: EC2N 2DB  
Country: UK  
VAT Number: GB 243 6097 61

We undertake to notify AFME of any changes to these details at any time throughout our adherence to the Protocol, by either:

(a) delivering to AFME by (i) certified or registered mail (or airmail, if overseas) or equivalent; (ii) courier; or (iii) personal delivery to AFME's address set out in this Adherence Letter, two copies of such notice: one a manually signed original and the other a conformed copy containing, in place of each signature, the printed or typewritten name of each signatory; and we will also send scanned pdf copies of the manually signed original and conformed copies of the notice of change of details to AFME at [fttprotocol@afme.eu](mailto:fttprotocol@afme.eu); or

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<sup>1</sup> To the extent that each adhering entity does not share the same contact details, please provide the contact details for each adhering entity.

<sup>2</sup> Please also provide any additional details which are required to process the invoice for Adhering Fees.



(b) sending to AFME at [fttprotocol@afme.eu](mailto:fttprotocol@afme.eu) an electronically signed original and a conformed copy of such notice.

We agree to the publication by AFME of the conformed copy of any such notice.

Where this letter applies to a Corporate Group Party, we acknowledge that we will be the Primary Group Adhering Party for the purposes of the Protocol.

We consent to the publication of the conformed copy of this letter by AFME and to the disclosure by AFME of the contents of this letter.

Yours faithfully

Signed by: Richard Newman  
Name: Richard Newman  
Title: Managing Director

Signed by: Robert Casebourne  
Name: Robert Casebourne  
Title: Managing Director