

**FAO The Tax Division  
Association for Financial Markets in Europe  
39<sup>th</sup> Floor  
25 Canada Square  
London  
E14 5LQ  
United Kingdom**

15 January 2021

Dear Sirs

**AFME Spanish Financial Transactions Tax Protocol – Adherence Letter**

**1. ADHERENCE**

The purpose of this letter is to declare our adherence and that of each other party whose name is listed in paragraph 2 below (for whom we act as agent for the purposes of this Adherence Letter) (each a Group Adhering Party), to the AFME Spanish Financial Transactions Tax Protocol (the Protocol).

By executing this letter, on our behalf and on behalf of each Group Adhering Party, we make the representations set out in article 6 of the Protocol and confirm our intention to be bound by the terms of the Protocol as amended from time to time. We represent and warrant that we are authorised to submit this letter on behalf of each Group Adhering Party. This letter constitutes an Adherence Letter as referred to in the Protocol.

The definitions and provisions contained in the Protocol are incorporated into this Adherence Letter.

**2. CORPORATE GROUP PARTIES TO WHOM THIS ADHERENCE LETTER APPLIES**

**Credit Suisse International**

Beneficiary BIC code	SFPGB2LXXX
Crest ID	6FKAV
DTC	DTC0355 a/c 29VPM0
Euroclear	94673
FCA Firm Reference Number	146702
Legal entity Identifier (LEI)	E58DKGMJYYYYJLN8C3868

**Credit Suisse Securities (Europe) Ltd**

Beneficiary BIC code	CSFBGB2LXXX
Crest ID	CSFB
DTC	DTC0355
Euroclear	93827
FCA Firm Reference Number	124611
Legal entity Identifier (LEI)	DL6FFRRLF74S01HE2M14

Registered Office as above  
Registered with unlimited liability in England under No. 2500199  
Authorised by the Prudential Regulation Authority and regulated by the Financial Conduct Authority and the Prudential Regulation Authority  
VAT No: GB 447 0737 41

**Credit Suisse Securities SV SA**

Beneficiary BIC code	CRESESM2XXX
Firm Reference Number	26 CNMV
Legal entity Identifier (LEI)	9598000TMGPWX7NYM4R72

**Credit Suisse Securities (USA) LLC**

Beneficiary BIC code	CSFBUS33XXX
DTC	0355
Firm Reference Number	SEC#: 8-422
Legal entity Identifier (LEI)	1V8Y6QCX6YMJ2OELII46

**Credit Suisse (Switzerland) Ltd.**

Beneficiary BIC code	CRESCHZZ80A
Euroclear	43253

**Credit Suisse AG**

Beneficiary BIC code	CRESHZHXXX
Euroclear	94285

**Credit Suisse AG Sucursal en España**

Beneficiary BIC code	CRESESMXXXX
Euroclear	92453
Firm Reference Number	1460
Legal entity Identifier (LEI)	ANGGYXNX0JLX3X63JN86

Each party listed above shall be a separate Adhering Party.

We hereby confirm that each adhering party listed above deals on its own account and is duly licensed for dealing on own account and/or it executes orders on behalf of clients and is duly licensed for the execution of orders on behalf of clients.

We provide a link to the relevant regulator's register of investment service providers to confirm our accreditation:

**Credit Suisse International:**

<https://register.fca.org.uk/s/firm?id=001b000000MfFfeAAF>

**Credit Suisse Securities (Europe) Ltd:**

<https://register.fca.org.uk/s/firm?id=001b000000MfFC7AAN>

**Credit Suisse Securities SV SA:**

<https://www.cnmv.es/portal/Consultas/ESI/ESIS.aspx?nif=A-79192258>

**Credit Suisse Securities (USA) LLC:**

<https://brokercheck.finra.org/firm/summary/816>

**Credit Suisse (Switzerland) Ltd.:**

<https://www.finma.ch/en/finma-public/authorised-institutions-individuals-and-products/>

**Credit Suisse AG:**

<https://www.finma.ch/en/finma-public/authorised-institutions-individuals-and-products/>

**Credit Suisse AG Sucursal en España:**

<https://www.cnmv.es/Portal/Consultas/ESI/ESISEntCredito.aspx?tipo=SECE&numero=1460>

**3. APPOINTMENT AS ADMINISTRATOR AND RELEASE**

We (on our own behalf and as agent for all adhering parties listed above) hereby appoint AFME as administrator for the limited purposes of the Protocol and accordingly we waive, and hereby release AFME from, any rights, claims, actions or causes of action whatsoever (whether in contract, tort or otherwise) arising out of or in any way relating to this Adherence Letter or our adherence to the Protocol or any actions contemplated as being required by AFME.

**4. CONTACT DETAILS<sup>1</sup>**

Our contact details for the purposes of the Protocol are:

Name: Credit Suisse Services AG  
FAO: Operational Taxes, Group Tax  
Address : Uetlibergstr. 231, 8045 Zürich, Switzerland  
Telephone: +41 44 333 07 45

Our contact details for receipt of invoices for Adherence Fees are:<sup>2</sup>

Organisation:

Name: Credit Suisse International

Billing Address: One Cabot Square  
City: London  
Post Code: E14 4QJ

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<sup>1</sup> To the extent that each adhering entity does not share the same contact details, please provide the contact details for each adhering entity.

<sup>2</sup> Please also provide any additional details which are required to process the invoice for Adhering Fees.

Country: United Kingdom  
VAT Number: GB756485979

We undertake to notify AFME of any changes to these details at any time throughout our adherence to the Protocol, by either:

(a) delivering to AFME by (i) certified or registered mail (or airmail, if overseas) or equivalent; (ii) courier; or (iii) personal delivery to AFME's address set out in this Adherence Letter, two copies of such notice: one a manually signed original and the other a conformed copy containing, in place of each signature, the printed or typewritten name of each signatory; and we will also send scanned pdf copies of the manually signed original and conformed copies of the notice of change of details to AFME at [fttprotocol@afme.eu](mailto:fttprotocol@afme.eu); or

(b) sending to AFME at [fttprotocol@afme.eu](mailto:fttprotocol@afme.eu) an electronically signed original and a conformed copy of such notice.

We agree to the publication by AFME of the conformed copy of any such notice.

Where this letter applies to a Corporate Group Party, we acknowledge that we will be the Primary Group Adhering Party for the purposes of the Protocol.

## **5. AGENT FOR SERVICE OF PROCESS<sup>3</sup>**

Credit Suisse Securities SV SA and Credit Suisse AG Sucursal en España have appointed Credit Suisse International, with registered address at One Cabot Square, London, E14 4QJ as agent for service of process and any other documents in proceedings in England. We agree that we will at all times while we remain an Adhering Party to the Protocol maintain an agent for service of process and any other documents in proceedings in England. Any claim form, judgment or other notice of legal process will be sufficiently served on us if delivered to such agent at its address for the time being. We undertake not to revoke the authority of the above agent without giving prior notification to AFME of a replacement agent.

We consent to the publication of the conformed copy of this letter by AFME and to the disclosure by AFME of the contents of this letter.

Yours faithfully

### **Credit Suisse International:**

Signed by: RYAN NELSON  
Name: RYAN NELSON  
Title: MANAGING DIRECTOR, EQUITIES

Signed by: LEE BURROWS  
Name: LEE BURROWS  
Title: MANAGING DIRECTOR, EQUITIES

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<sup>3</sup> Non-UK Adhering Parties only. To the extent that each non-UK Adhering Party is not using the same agent, please modify this paragraph as necessary.

**Credit Suisse Securities (Europe) Ltd:**

Signed by: RYAN NELSON  
Name: RYAN NELSON  
Title: MANAGING DIRECTOR, EQUITIES

Signed by: LEE BURROWS  
Name: LEE BURROWS  
Title: MANAGING DIRECTOR, EQUITIES

**Credit Suisse Securities SV SA:**

Signed by: LEXURI ELORRIAGA  
Name: LEXURI ELORRIAGA  
Title: DIRECTOR, CFO

Signed by: EMILIO GALLEGO  
Name: EMILIO GALLEGO  
Title: MANAGING DIRECTOR, COO

**Credit Suisse Securities (USA) LLC:**

Signed by: FARZIN AZARM  
Name: FARZIN AZARM  
Title: MANAGING DIRECTOR, EQUITIES

**Credit Suisse (Switzerland) Ltd.:**

Signed by: SANDRO ZAMI  
Name: SANDRO ZAMI  
Title: MANAGING DIRECTOR, TAX OPERATIONS

Signed by: NICO BEIER  
Name: NICO BEIER  
Title: DIRECTOR, GROUP TAX

**Credit Suisse AG:**

Signed by: SANDRO ZAMI  
Name: SANDRO ZAMI  
Title: MANAGING DIRECTOR, TAX OPERATIONS

Signed by: NICO BEIER  
Name: NICO BEIER  
Title: DIRECTOR, GROUP TAX

**Credit Suisse AG Sucursal en España:**

Signed by: CONCEPCIÓN GÓMEZ DE LIAÑO  
Name: CONCEPCIÓN GÓMEZ DE LIAÑO  
Title: MANAGING DIRECTOR, COO

Signed by: JAVIER LINARES  
Name: JAVIER LINARES  
Title: DIRECTOR, OPERATIONS