

**FAO The Tax Division  
Association for Financial Markets in Europe  
Level 10  
20 Churchill Place  
London  
E14 5HJ  
United Kingdom**

04 April 2023

Dear Sir/Madam

**AFME Spanish Financial Transactions Tax Protocol – Adherence Letter**

**1. ADHERENCE**

The purpose of this letter is to declare our adherence to the AFME Spanish Financial Transactions Tax Protocol (the *Protocol*).

By executing this letter, on our behalf and on behalf of each Group Adhering Party, we make the representations set out in article 6 of the Protocol and confirm our intention to be bound by the terms of the Protocol as amended from time to time. We represent and warrant that we are authorised to submit this letter on behalf of each Group Adhering Party. This letter constitutes an Adherence Letter as referred to in the Protocol.

The definitions and provisions contained in the Protocol are incorporated into this Adherence Letter.

**2. CORPORATE GROUP PARTIES TO WHOM THIS ADHERENCE LETTER APPLIES**

Clear Street Markets LLC	LEI: 549300YFSMTHGTGWB648
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Each party listed above shall be a separate Adhering Party.

We hereby confirm that each Adhering Party listed above deals on its own account and is duly licensed for dealing on own account and/or it executes orders on behalf of clients and is duly licensed for the execution of orders on behalf of clients.

We provide a link to the relevant regulator's register of investment service providers to confirm our accreditation

<https://brokercheck.finra.org/firm/summary/159283>

### **3. APPOINTMENT AS ADMINISTRATOR AND RELEASE**

We on our own behalf hereby appoint AFME as administrator for the limited purposes of the Protocol and accordingly we waive, and hereby release AFME from, any rights, claims, actions or causes of action whatsoever (whether in contract, tort or otherwise) arising out of or in any way relating to this Adherence Letter or our adherence to the Protocol or any actions contemplated as being required by AFME.

### **4. CONTACT DETAILS**

Our contact details for the purposes of the Protocol are:

Name: Clear Street Markets LLC  
FAO: Elliot Gulkowitz  
Address: 55 Broadway, Suite 2102, New York, NY 10006, USA.  
Telephone: +1 (646) 532-6435  
E-mail: egulkowitz@clearstreet.io

Our contact details for receipt of invoices for Adherence Fees are:

Organisation:

Name: Clear Street Markets LLC  
Billing Address: 55 Broadway, Suite 2102  
City: New York  
Post Code: NY 10006  
Country: USA  
VAT Number: N/A

Invoice Contact (if different from contact details above):

Name: Clear Street Markets LLC  
FAO: Elliot Gulkowitz  
Address:  
Telephone:  
E-mail: billing@clearstreet.io

We undertake to notify AFME of any changes to these details at any time throughout our adherence to the Protocol, by sending a manually or electronically signed notice to AFME at [fttprotocol@afme.eu](mailto:fttprotocol@afme.eu)

We agree to the publication by AFME of the conformed copy of any such notice.

Where this letter applies to a Corporate Group, we acknowledge that we will be the Primary Group Adhering Party for the purposes of the Protocol.

## **5. AGENT FOR SERVICE OF PROCESS**

We have appointed ABC Legal Services as our agent for service of process and any other documents in proceedings in England.<sup>1</sup> We agree that we will at all times while we remain an Adhering Party to the Protocol maintain an agent for service of process and any other documents in proceedings in England. Any claim form, judgment or other notice of legal process will be sufficiently served on us if delivered to such agent at its address for the time being. We undertake not to revoke the authority of the above agent without giving prior notification to AFME of a replacement agent.

We consent to the publication of the conformed copy of this letter by AFME and to the disclosure by AFME of the contents of this letter.

Yours faithfully

Signed by: Sharad Kumar  
Name: S. Kumar  
Title: Chief Compliance Officer

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<sup>1</sup> See <https://www.abclegal.com/service-of-process>