

**FAO The Tax Division**  
**Association for Financial Markets in Europe**  
**39<sup>th</sup> Floor**  
**25 Canada Square**  
**London**  
**E14 5LQ**  
**United Kingdom**

8 January 2021

Dear Sirs

**AFME Spanish Financial Transactions Tax Protocol – Adherence Letter**

**1. ADHERENCE**

The purpose of this letter is to declare our adherence to the AFME Spanish Financial Transactions Tax Protocol (the **Protocol**).

By executing this letter we make the representations set out in article 6 of the Protocol and confirm our intention to be bound by the terms of the Protocol as amended from time to time. This letter constitutes an Adherence Letter as referred to in the Protocol.

The definitions and provisions contained in the Protocol are incorporated into this Adherence Letter.

**2. CORPORATE GROUP PARTIES TO WHOM THIS ADHERENCE LETTER APPLIES**

China International Capital Corporation (UK) Limited

Company number: 6993716  
FCA reference number: 507542  
CREST Code: GRQAN  
LEI: 213800STG3UV87MDGA96

We hereby confirm that we deal on our own account and are duly licensed for dealing on own account and/or we execute orders on behalf of clients and are duly licensed for the execution of orders on behalf of clients.

We provide a link to the relevant regulator's register of investment service providers to confirm our accreditation

<https://register.fca.org.uk/s/firm?id=001b000000Mfl30AAB>

**3. APPOINTMENT AS ADMINISTRATOR AND RELEASE**

We (on our own behalf and as agent for all adhering parties listed above) hereby appoint AFME as administrator for the limited purposes of the Protocol and accordingly we waive, and hereby release AFME from, any rights, claims, actions or causes of action whatsoever (whether in contract, tort or otherwise) arising out of or in any way relating to this Adherence Letter or our adherence to the Protocol or any actions contemplated as being required by AFME.

#### 4. CONTACT DETAILS

Our contact details for the purposes of the Protocol are:

Name: China International Capital Corporation (UK) Limited  
FAO: Saul Lester  
Address: Level 25, 125 Old Broad Street, London, EC2N 1AR  
Telephone: +44 20 7367 5718  
E-mail: EUtrading@ciccuk.com

Our contact details for receipt of invoices for Adherence Fees are:

Organisation:

Name: China International Capital Corporation (UK) Limited  
Billing Address: Level 25, 125 Old Broad Street  
City: London  
Post Code: EC2N 1AR  
Country: United Kingdom  
VAT Number: 992085881

We undertake to notify AFME of any changes to these details at any time throughout our adherence to the Protocol, by either:

- (a) delivering to AFME by (i) certified or registered mail (or airmail, if overseas) or equivalent; (ii) courier; or (iii) personal delivery to AFME's address set out in this Adherence Letter, two copies of such notice: one a manually signed original and the other a conformed copy containing, in place of each signature, the printed or typewritten name of each signatory; and we will also send scanned pdf copies of the manually signed original and conformed copies of the notice of change of details to AFME at [fttprotocol@afme.eu](mailto:fttprotocol@afme.eu); or
- (b) sending to AFME at [fttprotocol@afme.eu](mailto:fttprotocol@afme.eu) an electronically signed original and a conformed copy of such notice.

We agree to the publication by AFME of the conformed copy of any such notice.

We consent to the publication of the conformed copy of this letter by AFME and to the disclosure by AFME of the contents of this letter.

Yours faithfully

China International Capital Corporation (UK) Limited

Signed by: X Xia  
Name: X Xia  
Title: Director