

CUSTOMER INFORMATION

Subject: Stifel Europe AG (the "**Company**"): Change of name and of regulatory status

Dear Sir/Madam,

We hereby inform you that since 20 August 2024 (the "**Effective Date**"), the Company has changed its regulatory status from credit institution (*Kreditinstitut*) to securities institution (*Wertpapierinstitut*). As such, the Company remains subject to the supervision of the Federal Financial Supervisory Authority (*Bundesanstalt für Finanzdienstleistungsaufsicht*, "**BaFin**").

The licensing change was duly notified to the Commissione Nazionale per le Società e la Borsa ("**CONSOB**") and the Autorité de contrôle prudentiel et de résolution ("**ACPR**") in accordance with the EU passporting regime applicable to investment services. On 20 August 2024, the CONSOB and the ACPR resolved on granting the Company's branches in Italy and France EU passports to provide investment services.

Please note that this change does not have an impact on the capital structure of the Company, the operational processes and the capacity of the Company to provide investment services to its customers.

The change of regulatory status has the following consequences for the Company:

- The Company changed its name since the Effective Date, from "Stifel Europe Bank AG" to "**Stifel Europe AG**".
- As of the Effective Date, the Company is a member of the Securities Trading Companies Compensation Fund (*Entschädigungseinrichtung für Wertpapierhandelsunternehmen*).
- Also, as the Company is no longer a credit institution, it will terminate its membership with the Association of German Banks (*Bundesverband deutscher Banken*) and will no longer participate in the voluntary deposit protection scheme (*freiwillige Einlagensicherung*).

Client money will be held in a segregated cash account and financial instruments will be held in a segregated safekeeping account with BNP Paribas S.A. Niederlassung Deutschland ("**BNP Paribas**"), Senckenbergallee 19, 60325 Frankfurt Deutschland. For additional information, please see the "Information on Stifel Europe AG and its Services" available under the following link: <https://www.stifel.com/disclosures/stifel-europe/stea-disclosures>.

Should you need more information about the above, we kindly ask you to refer to your usual business contact at the Company.

Best regards,

Stifel Europe AG

Sébastien Guillaume
CEO

Carsten Steinborn
CFO