

RAYMOND JAMES®

**FAO The Tax Division
Association for Financial Markets in Europe
Level 10
20 Churchill Place
London
E14 5HJ
United Kingdom**

4th January 2024

Dear Sir/Madam

AFME Italian Financial Transactions Tax Equities Protocol – Adherence Letter

1. ADHERENCE

The purpose of this letter is to declare our adherence and that of each other party whose name is listed in paragraph 2 below (for whom we act as agent for the purposes of this Adherence Letter) (each a ***Group Adhering Party***), to the AFME Italian Financial Transactions Tax Equities Protocol (the ***Protocol***).

By executing this letter, on our behalf and on behalf of each Group Adhering Party, we make the representations set out in section 6 of the Protocol and confirm our intention to be bound by the terms of the Protocol as amended from time to time. We represent and warrant that we are authorised to submit this letter on behalf of each Group Adhering Party. This letter constitutes an Adherence Letter as referred to in the Protocol.

The definitions and provisions contained in the Protocol are incorporated into this Adherence Letter.

2. CORPORATE GROUP PARTIES TO WHOM THIS ADHERENCE LETTER APPLIES

Raymond James Financial International Limited FCA FRN: 188244, LEI: 213800GH3F4OW1COOV72

Each party listed above shall be a separate Adhering Party.

We hereby confirm that each Adhering Party listed above deals on its own account and is duly licensed for dealing on own account and/or it executes orders on behalf of clients and is duly licensed for the execution of orders on behalf of clients.

We provide a link to the relevant regulator's register of investment service providers to confirm our accreditation

<https://register.fca.org.uk/s/firm?id=001b000000MfHtnAAF>

Raymond James Financial International Limited

Ropemaker Place // 25 Ropemaker Street // London EC2Y 9LY // United Kingdom
Telephone: +44 (0)203 798 5600 // Facsimile: +44 (0)203 798 5649
Authorised and regulated by the Financial Conduct Authority
Company No. 3127076

3. APPOINTMENT AS ADMINISTRATOR AND RELEASE

We (on our own behalf and as agent for all Adhering Parties listed above) hereby appoint AFME as administrator for the limited purposes of the Protocol and accordingly we waive, and hereby release AFME from, any rights, claims, actions or causes of action whatsoever (whether in contract, tort or otherwise) arising out of or in any way relating to this Adherence Letter or our adherence to the Protocol or any actions contemplated as being required by AFME.

4. CONTACT DETAIL

Our contact details for the purposes of the Protocol are:

Name: Raymond James Financial International Limited
FAO: Compliance Department
Address: Ropemaker Place, 25 Ropemaker Street, London EC2Y 9LY
Telephone: 020 3798 5637
E-mail: europeancompliance@raymondjames.com

Our contact details for receipt of invoices for Adherence Fees are:

Organisation:

Name: Compliance Department
Billing Address: Raymond James Financial International Limited, Ropemaker Place, 25 Ropemaker Street
City: London
Post Code: EC2Y 9LY
Country: UK
VAT Number: 848 3216 16

We undertake to notify AFME of any changes to these details at any time throughout our adherence to the Protocol, by sending a manually or electronically signed notice to AFME at fttprotocol@afme.eu.

We agree to the publication by AFME of the conformed copy of any such notice.

5. OPTIONAL REPRESENTATION FOR EXECUTION ON A REGULATED MARKET OR MTF

[If you wish to make the Optional Representation and give the associated indemnity please check the box below. This representation will apply to all Covered Transactions unless you explicitly notify the other Adhering Party to a Covered Transaction at the time the transaction is entered into.]

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Where the box is checked, we opt to give the Optional Representation as set out in paragraph 6.3 of the Protocol.

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We consent to the publication of the conformed copy of this letter by AFME and to the disclosure by AFME of the contents of this letter.

Yours faithfully

RAYMOND JAMES FINANCIAL INTERNATIONAL LIMITED

Signed by: Pratap Thakkar
Name: Pratap Thakkar
Title: Head of European Compliance

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