

**FAO The Tax Division  
Association for Financial Markets in Europe  
39th Floor  
25 Canada Square  
London  
E14 5LQ  
United Kingdom**

May 3, 2022

**RE: AFME Italian Financial Transactions Tax Protocol – Adherence  
Letter**

Dear Sir/Madam

**1. ADHERENCE**

The purpose of this letter is to declare our adherence and that of each other party, whose name is listed in paragraph below (for whom we act as agent for the purposes of this Adherence Letter) (each a **Group Adhering Party**), to the AFME Italian Financial Transactions Tax Protocol (the **Protocol**).

By executing this letter, on our behalf and on behalf of each Group Adhering Party, we make the representations set out in section 6 of the Protocol and confirm our intention to be bound by the terms of the Protocol as amended from time to time. We represent and warrant that we are authorised to submit this letter on behalf of each Group Adhering Party. This letter constitutes an Adherence Letter as referred to in the Protocol.

The definitions and provisions contained in the Protocol are incorporated into this Adherence Letter.

**FINOVESTA** GmbH

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Geschäftsführer:  
Volker Müntefering

Amtsgericht  
Düsseldorf HRB 23265

**2. CORPORATE GROUP PARTIES TO WHOM THIS ADHERENCE LETTER APPLIES**

Full Name of Legal Entities	BIC Code	Legal Entity Identifier (LEI)
FINOVESTA GMBH	FIVGDED1XXX	529900GVB4E3JTDFHA88

Each party listed above shall be a separate Adhering Party.

Branches of a person that are located in a State or territory which does not have an agreement with Italy for the purposes of the exchange of information and the assistance in the collection of tax credits (as set out in a specific decision of the Director of the *Agenzia delle Entrate* once such decision is available) shall not be covered by the Protocol and references to the Adhering Party will be construed as excluding such branches (**Excluded Branches**). Our Excluded Branches are set out below:

**NONE**

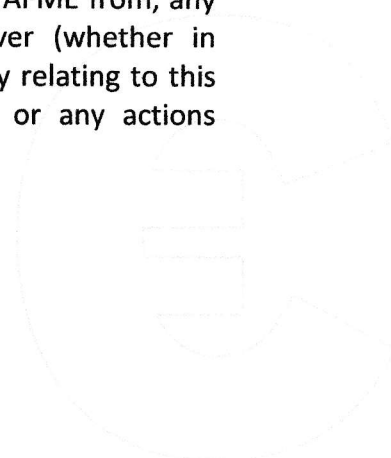
We hereby confirm that each Adhering Party listed above deals on its own account and is duly licensed for dealing on own account and/or it executes orders on behalf of clients and is duly licensed for the execution of orders on behalf of clients.

We provide a link to the relevant regulator's register of investment service providers to confirm our accreditation:

<https://portal.mvp.bafin.de/database/InstInfo/institutDetails.do?cmd=loadInstitutAction&institutId=109326>

**3. APPOINTMENT AS ADMINISTRATOR AND RELEASE**

We (on our own behalf and as agent for all Adhering Parties listed above) hereby appoint AFME as administrator for the limited purposes of the Protocol and accordingly we waive, and hereby release AFME from, any rights, claims, actions or causes of action whatsoever (whether in contract, tort or otherwise) arising out of or in any way relating to this Adherence Letter or our adherence to the Protocol or any actions contemplated as being required by AFME.



**4. CONTACT DETAILS**

Our contact details for the purposes of the Protocol are:

Name: Georg von Wiedebach  
FAO: Head of Finance & Risk  
Address: Holzstr. 2, 40221 Düsseldorf, Germany  
Telephone: +49 (0) 211 – 86 55 10  
E-mail: [georg.wiedebach@finovesta.de](mailto:georg.wiedebach@finovesta.de)

We undertake to notify AFME of any changes to these details at any time throughout our adherence to the Protocol, by sending a manually or electronically signed notice to AFME at [fttprotocol@afme.eu](mailto:fttprotocol@afme.eu).

We agree to the publication by AFME of the conformed copy of any such notice.

**5. OPTIONAL REPRESENTATION FOR EXECUTION ON A REGULATED MARKET OR MTF**

Where the box is checked, we opt to give the Optional Representation as set out in paragraph 6.3 of the Protocol.

We consent to the publication of the conformed copy of this letter by AFME and to the disclosure by AFME of the contents of this letter.

Yours faithfully

FINOVESTA GmbH

Signed by: GEROG VON WIEDEBACH

DOMINIK SCHUBERT

Name: Georg von Wiedebach  
Title: Head of Finance, Risk & BD

Dominik Schubert  
Head of Backoffice