

**FAO The Tax Division
Association for Financial Markets in Europe
39th Floor
25 Canada Square
London
E14 5LQ
United Kingdom**

15 May 2023

Dear Sir/Madam

AFME Italian Financial Transactions Tax Protocol – Adherence Letter

1. ADHERENCE

The purpose of this letter is to declare our adherence and that of each other party whose name is listed in paragraph 2 below (for whom we act as agent for the purposes of this Adherence Letter) (each a **Group Adhering Party**), to the AFME Italian Financial Transactions Tax Protocol (the **Protocol**).

By executing this letter, on our behalf and on behalf of each Group Adhering Party, we make the representations set out in section 6 of the Protocol and confirm our intention to be bound by the terms of the Protocol as amended from time to time. We represent and warrant that we are authorised to submit this letter on behalf of each Group Adhering Party. This letter constitutes an Adherence Letter as referred to in the Protocol.

The definitions and provisions contained in the Protocol are incorporated into this Adherence Letter.

2. Corporate Group Parties to whom this Adherence Letter applies

1. MPS CAPITAL SERVICES BANCA PER LE IMPRESE SPA

Via Leone Pancaldo, 4
50127 Florence (FI)
ITALY
LEI **01483500524**
Registered no. **00816350482**
Bank of Italy's Register of Banks no. **4770**
ABI code no. **10643**
BIC: **MPSMIT31XXX**
Website address: www.mpscapitalervices.it/
Certified mail: mpscapitalservices@postacert.gruppo.mps.it

2. BANCA MONTE DEI PASCHI DI SIENA SPA

Piazza Salimbeni, 3
53100 Siena (SI)
ITALY
LEI **J4CP7MHCXR8DAQMKIL78**

MPS CAPITAL SERVICES BANCA PER LE IMPRESE S.P.A.

Sede Legale: Via Leone Pancaldo n. 4, - 50127 FIRENZE - Direzione Generale: Via Panciatichi, 48 - 50127 FIRENZE - www.mpscapitalervices.it - Tel. 055.24981
Fax 055.240826 Capitale sociale Euro 1.517.076.384,31 i.v., Codice Fiscale e N. Iscr. Reg. Imp. di Firenze 00816350482, GRUPPO IVA MPS - Partita IVA
01483500524, Società con socio unico soggetta all'attività di direzione e coordinamento da parte della Banca Monte dei Paschi di Siena S.p.A., appartenente al
Gruppo Bancario Monte dei Paschi di Siena - Codice ABI Banca 10643.5 - Codice ABI Gruppo 1030.6 - Aderente al Codice di comportamento del settore bancario
e finanziario - Aderente al Fondo Interbancario di Tutela dei Depositi ed al Fondo Nazionale di Garanzia - Iscritta all'Albo delle Banche della Banca d'Italia n. 4770.

Registered no. **00884060526**

Bank of Italy's Register of Banks no. **5274**

ABI code no. **1030**

BIC: **PASCITMMXXX**

Website address: <https://www.gruppomps.it/>

Certified mail: stafflargecorporateib@postacert.gruppo.mps.it

Each party listed above shall be a separate Adhering Party.

Branches of a person that are located in a State or territory which does not have an agreement with Italy for the purposes of the exchange of information and the assistance in the collection of tax credits (as set out in a specific decision of the Director of the *Agenzia delle Entrate* once such decision is available) shall not be covered by the Protocol and references to the Adhering Party will be construed as excluding such branches (***Excluded Branches***). Our Excluded Branches are set out below:

NONE

We hereby confirm that each Adhering Party listed above deals on its own account and is duly licensed for dealing on own account and/or it executes orders on behalf of clients and is duly licensed for the execution of orders on behalf of clients.

We provide a link to the relevant regulator's register of investment service providers to confirm our accreditation

(*both Adhering Parties*):

(CONSOB (Italian NCA)'s register of authorized entity) <http://www.consob.it/web/area-pubblica/banche>

re-directing to: <https://infostat.bancaditalia.it/GIAVAInquiry-public/ng/int-albi/search>

3. APPOINTMENT AS ADMINISTRATOR AND RELEASE

We (on our own behalf and as agent for all Adhering Parties listed above) hereby appoint AFME as administrator for the limited purposes of the Protocol and accordingly we waive, and hereby release AFME from, any rights, claims, actions or causes of action whatsoever (whether in contract, tort or otherwise) arising out of or in any way relating to this Adherence Letter or our adherence to the Protocol or any actions contemplated as being required by AFME.

4. CONTACT DETAILS

Our contact details for the purposes of the Protocol are:

Name: **MPS CAPITAL SERVICES BANCA PER LE IMPRESE SPA**
FAO: Ms. Chiara Cavoli
Address: Via Panciatichi, 48 - 50127 Firenze
Telephone: 0039 (0)55-2498393
Fax: 0039 (0)55-2498206
E-mail: tax.planning@mpscapitalservices.it

Our contact details for receipt of invoices for Adherence Fees are:

Organisation:

Name: **MPS CAPITAL SERVICES BANCA PER LE IMPRESE SPA**
Billing Address: fatture@mpscapitalservices.it
City: Florence (FI)
Post Code: 50127
Country: ITALY
VAT Number: **01483500524 (VAT Group MPS)**

Invoice Contact (if different from contact details above):

Name: **MPS CAPITAL SERVICES BANCA PER LE IMPRESE SPA**
FAO: Marco Moretti
Address: Via Panciatichi, 48 - 50127 Florence
Telephone: 0039 (0)55-2498241
Fax: 0039 (0)55-2498206
E-mail: fatture@mpscapitalservices.it

We undertake to notify AFME of any changes to these details at any time throughout our adherence to the Protocol, by sending a manually or electronically signed notice to AFME at fttprotocol@afme.eu.

We agree to the publication by AFME of the conformed copy of any such notice.

5. OPTIONAL REPRESENTATION FOR EXECUTION ON A REGULATED MARKET OR MTF

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Where the box is checked, we opt to give the Optional Representation as set out in paragraph 6.3 of the Protocol.

6. AGENT FOR SERVICE OF PROCESS

We have appointed Pirola Pennuto Zei & Associati Ltd, 5th Floor, Aldermay House, 10-15 Queen Street, London, EC4N 1TX as our agent for service of process and any other documents in proceedings in England. We [each] agree that we will at all times while we remain an Adhering Party to the Protocol maintain an agent for service of process and any other documents in proceedings in England. Any claim form, judgment or other notice of legal process will be sufficiently served on us if delivered to such agent at its address for the time being. We undertake not to revoke the authority of the above agent without giving prior notification to the AFME of a replacement agent.



We consent to the publication of the conformed copy of this letter by AFME and to the disclosure by AFME of the contents of this letter.

Yours faithfully

MPS CAPITAL SERVICES BANCA PER LE IMPRESE SPA

Signed by: Emanuele SCARNATI

Title: General Manager