

New Court  
St. Swithin's Lane  
London EC4N 8AL  
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**FAO The Tax Division  
Association for Financial Markets in Europe  
Level 10  
20 Churchill Place  
London  
E14 5HJ  
United Kingdom**

30 September 2026

Dear Sir/Madam

**AFME French Financial Transactions Tax Protocol – Adherence Letter**

**1. ADHERENCE**

The purpose of this letter is to declare our adherence and that of each other party whose name is listed in paragraph 2 below (for whom we act as agent for the purposes of this Adherence Letter) (each a ***Group Adhering Party***), to the AFME French Financial Transactions Tax Protocol (the ***Protocol***).

By executing this letter, on our behalf and on behalf of each Group Adhering Party, we make the representations set out in article 6 of the Protocol and confirm our intention to be bound by the terms of the Protocol as amended from time to time. We represent and warrant that we are authorised to submit this letter on behalf of each Group Adhering Party. This letter constitutes an Adherence Letter as referred to in the Protocol.

The definitions and provisions contained in the Protocol are incorporated into this Adherence Letter.

**2. CORPORATE GROUP PARTIES TO WHOM THIS ADHERENCE LETTER APPLIES**

**Rothschild & Co Global Markets Solutions Limited**

New Court, St. Swithin's Lane, London EC4N 8AL

Financial Conduct Authority Firm Reference Number: 607483

LEI: 213800PKEJQZQXQCOJ04

Regulator's register link: <https://register.fca.org.uk/s/firm?id=001b000000NMIRIAA1>

**Rothschild & Co Global Markets Solutions (Europe) SA** (a wholly-owned subsidiary of Rothschild & Co Global Markets Solutions Limited)

11 rue de Téhéran, 75008 Paris, France

ACPR regulated entity ID: 79573

Bank code: 13183

LEI: 213800DXGTBVO5RLOC54

Regulator's register link:

[https://www.regafi.fr/pages/fiche-banque/?refine.id\\_referentiel=79573&start=0](https://www.regafi.fr/pages/fiche-banque/?refine.id_referentiel=79573&start=0)

**Rothschild & Co Global Markets Solutions LLC** (a wholly-owned subsidiary of Rothschild & Co Global Markets Solutions Limited)

1251 Avenue of the Americas, 34th Floor New York, NY 10020, USA

CRD number: 140564

SEC number: 8-67320

LEI: 213800TYRTQILMQQLV79

Regulator's register link: <https://brokercheck.finra.org/firm/summary/140564>

Each party listed above shall be a separate Adhering Party.

We hereby confirm that each Adhering Party listed above deals on its own account and is duly licensed for dealing on own account and/or it executes orders on behalf of clients and is duly licensed for the execution of orders on behalf of clients.

We have provided a link to the relevant regulator's register of investment service providers to confirm our accreditation.

### **3. APPOINTMENT AS ADMINISTRATOR AND RELEASE**

We (on our own behalf and as agent for all adhering parties listed above) hereby appoint AFME as administrator for the limited purposes of the Protocol and accordingly we waive, and hereby release AFME from, any rights, claims, actions or causes of action whatsoever (whether in contract, tort or otherwise) arising out of or in any way relating to this Adherence Letter or our adherence to the Protocol or any actions contemplated as being required by AFME.

### **4. CONTACT DETAILS<sup>1</sup>**

Our contact details for the purposes of the Protocol are:

Name: Rothschild & Co Global Markets Solutions Limited

FAO: Alice Harper

Address: 85 King William Street, London, EC4N 7B

Telephone: +44 20 7000 2192

E-mail: [GMSComplianceUK@rothschildandco.com](mailto:GMSComplianceUK@rothschildandco.com)

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<sup>1</sup> To the extent that each adhering entity does not share the same contact details, please provide the contact details for each adhering entity.

Our contact details for receipt of invoices for Adherence Fees are:<sup>2</sup>

Organisation: Rothschild & Co Global Markets Solutions Limited

Billing Address: 85 King William Street

City: London

Post Code: EC4N 7B

Country: United Kingdom

VAT Number: GB 244 0066 96

Telephone: +44 (0)20 7000 2020

E-mail: [GMS\\_Accounts@rothschildandco.com](mailto:GMS_Accounts@rothschildandco.com)

We undertake to notify AFME of any changes to these details at any time throughout our adherence to the Protocol, by sending a manually or electronically signed notice to AFME at [fitprotocol@afme.eu](mailto:fitprotocol@afme.eu).

We agree to the publication by AFME of the conformed copy of any such notice.

Where this letter applies to a Corporate Group, we acknowledge that we will be the Primary Group Adhering Party for the purposes of the Protocol.

## **5. AGENT FOR SERVICE OF PROCESS<sup>3</sup>**

Rothschild & Co Global Markets Solutions (Europe) SA and Rothschild & Co Global Markets Solutions LLC have appointed Rothschild & Co Global Markets Solutions Limited as their agent for service of process and any other documents in proceedings in England. We agree that Rothschild & Co Global Markets Solutions (Europe) SA and Rothschild & Co Global Markets Solutions LLC will at all times while we remain an Adhering Party to the Protocol maintain an agent for service of process and any other documents in proceedings in England. Any claim form, judgment or other notice of legal process will be sufficiently served on us if delivered to such agent at its address for the time being. We, as agent on behalf of Rothschild & Co Global Markets Solutions (Europe) SA and Rothschild & Co Global Markets Solutions LLC, undertake not to revoke the authority of the above agent without giving prior notification to AFME of a replacement agent.

We consent to the publication of the conformed copy of this letter by AFME and to the disclosure by AFME of the contents of this letter.

Yours faithfully

Rothschild & Co Global Markets Solutions Limited

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<sup>2</sup> Please also provide any additional details which are required to process the invoice for Adhering Fees.

<sup>3</sup> Non-UK Adhering Parties only. To the extent that each non-UK Adhering Party is not using the same agent, please modify this paragraph as necessary.

Signed by: ROBIN THOMPSON  
Title: Chief Operating Officer - Execution Services