

FAO The Tax Division  
Association for Financial Markets in Europe  
Level 10  
20 Churchill Place  
London  
E14 5HJ  
United Kingdom

4<sup>th</sup> January 2024

Dear Sir/Madam

## **AFME French Financial Transactions Tax Protocol – Adherence Letter**

### **1. ADHERENCE**

The purpose of this letter is to declare our adherence and that of each other party whose name is listed in paragraph 2 below (for whom we act as agent for the purposes of this Adherence Letter) (each a ***Group Adhering Party***), to the AFME French Financial Transactions Tax Protocol (the ***Protocol***).

By executing this letter, on our behalf and on behalf of each Group Adhering Party, we make the representations set out in article 6 of the Protocol and confirm our intention to be bound by the terms of the Protocol as amended from time to time. We represent and warrant that we are authorised to submit this letter on behalf of each Group Adhering Party. This letter constitutes an Adherence Letter as referred to in the Protocol.

The definitions and provisions contained in the Protocol are incorporated into this Adherence Letter.

### **2. CORPORATE GROUP PARTIES TO WHOM THIS ADHERENCE LETTER APPLIES**

Raymond James Financial International Limited FCA FRN: 188244, LEI: 213800GH3F4OW1COOV72

Each party listed above shall be a separate Adhering Party.

We hereby confirm that each Adhering Party listed above deals on its own account and is duly licensed for dealing on own account and/or it executes orders on behalf of clients and is duly licensed for the execution of orders on behalf of clients.

# RAYMOND JAMES®

We provide a link to the relevant regulator's register of investment service providers to confirm our accreditation

<https://register.fca.org.uk/s/firm?id=001b000000MfHtnAAF>

### 3. APPOINTMENT AS ADMINISTRATOR AND RELEASE

We (on our own behalf and as agent for all adhering parties listed above) hereby appoint AFME as administrator for the limited purposes of the Protocol and accordingly we waive, and hereby release AFME from, any rights, claims, actions or causes of action whatsoever (whether in contract, tort or otherwise) arising out of or in any way relating to this Adherence Letter or our adherence to the Protocol or any actions contemplated as being required by AFME.

### 4. CONTACT DETAILS

Our contact details for the purposes of the Protocol are:

Name: Raymond James Financial International Limited  
FAO: Compliance Department  
Address: Ropemaker Place, 25 Ropemaker Street, London EC2Y 9LY  
Telephone: 020 3798 5637  
E-mail: [europeancompliance@raymondjames.com](mailto:europeancompliance@raymondjames.com)

Our contact details for receipt of invoices for Adherence Fees are:

Organisation:

Name: Compliance Department  
Billing Address: Raymond James Financial International Limited, Ropemaker Place, 25 Ropemaker Street  
City: London  
Post Code: EC2Y 9LY  
Country: UK  
VAT Number: 848 3216 16

We undertake to notify AFME of any changes to these details at any time throughout our adherence to the Protocol, by sending a manually or electronically signed notice to AFME at [fttprotocol@afme.eu](mailto:fttprotocol@afme.eu).

We agree to the publication by AFME of the conformed copy of any such notice.

Where this letter applies to a Corporate Group, we acknowledge that we will be the Primary Group Adhering Party for the purposes of the Protocol.

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**Raymond James Financial International Limited**

Ropemaker Place // 25 Ropemaker Street // London EC2Y 9LY // United Kingdom  
Telephone: +44 (0)203 798 5600 // Facsimile: +44 (0)203 798 5649  
Authorised and regulated by the Financial Conduct Authority  
Company No. 3127076

# RAYMOND JAMES®

We consent to the publication of the conformed copy of this letter by AFME and to the disclosure by AFME of the contents of this letter.

Yours faithfully

RAYMOND JAMES FINANCIAL INTERNATIONAL LIMITED

Signed by: Pratap Thakkar

Name: Pratap Thakkar

Title: Head of European Compliance

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