

**FINOVESTA** · Media Tower · Holzstraße 2 · 40221 Düsseldorf

FAO The Tax Division  
Association for Financial Markets in Europe  
39th Floor  
25 Canada Square  
London  
E14 5LQ  
United Kingdom

January 13, 2021

## **AFME French Financial Transactions Tax Protocol – Adherence Letter**

Dear Sirs

### **1. ADHERENCE**

The purpose of this letter is to declare our adherence and that of each other party whose name is listed in paragraph 2 below (for whom we act as agent for the purposes of this Adherence Letter), to the AFME French Financial Transactions Tax Protocol (the Protocol).

By executing this letter, we make the representations set out in paragraph 5.1 of the Protocol and confirm our intention to be bound by the terms of the Protocol as amended from time to time. This letter constitutes an Adherence Letter as referred to in the Protocol.

The definitions and provisions contained in the Protocol are incorporated into this Adherence Letter.

### **2. CORPORATE GROUP PARTIES TO WHOM THIS ADHERENCE LETTER APPLIES**

Full Name of Legal Entities	BIC Code	Legal Entity Identifier (LEI)
FINOVESTA GMBH	FIVGDED1XXX	529900GVB4E3JTDFHA88

Each party listed above shall be a separate Adhering Party.

**FINOVESTA** GmbH

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Volker Müntefering

Amtsgericht  
Düsseldorf HRB 23265

We hereby confirm that each adhering party listed above deals on its own account and is duly licensed for dealing on own account and/or it executes orders on behalf of clients and is duly licensed for the execution of orders on behalf of clients.

We provide a link to the relevant regulator's register of investment service providers to confirm our accreditation:

<https://portal.mvp.bafin.de/database/InstInfo/institutDetails.do?cmd=loadInstitutAction&institutId=109326>

### **3. APPOINTMENT AS ADMINISTRATOR AND RELEASE**

We hereby appoint AFME as administrator for the limited purposes of the Protocol and accordingly we waive, and hereby release AFME from, any rights, claims, actions or causes of action whatsoever (whether in contract, tort or otherwise) arising out of or in any way relating to this Adherence Letter or our adherence to the Protocol or any actions contemplated as being required by AFME.

### **4. CONTACT DETAILS**

Our contact details for the purposes of the Protocol are:

Name: Georg von Wiedebach  
FAO: Head of Finance & Risk  
Address: Holzstr. 2, 40221 Düsseldorf, Germany  
Telephone: +49 (0) 211 – 86 55 10  
Fax: +49 (0) 211 – 13 29 47  
E-mail: [georg.wiedebach@finovesta.de](mailto:georg.wiedebach@finovesta.de)

We undertake to notify AFME of any changes to these details at any time throughout our adherence to the Protocol, by delivering to AFME by (i) certified or registered mail (or airmail, if overseas) or equivalent; (ii) courier; or (iii) personal delivery to AFME's address set out in this Adherence Letter, two copies of such notice: one a manually signed original and the other a conformed copy containing, in place of each signature, the printed or typewritten name of each signatory. In addition, we will send scanned pdf copies of the manually signed original and conformed copies of the notice of change of details to AFME at [fttprotocol@afme.eu](mailto:fttprotocol@afme.eu).

We agree to the publication by AFME of the conformed copy of any such notice.

We consent to the publication of the conformed copy of this letter by AFME and to the disclosure by AFME of the contents of this letter.

Yours faithfully

For and on behalf of FINOVESTA GMBH

Signed by: VOLKER MÜNTEFERING  
Name: Volker Müntefering  
Title: Managing Director

DOMINIK SCHUBERT  
Name: Dominik Schubert  
Title: Head of Backoffice

