

CONFERENCE

AFME's European Compliance and Legal Conference 2026

21 September 2026 / The Pullman, Montparnasse

Day 1 - Sunday, 20 September 2026

17:30 / Pre Conference Welcome Drinks

Day 2 - Monday, 21 September 2026

08:30 / *Welcome Registration*

09:20 - 09:40

Opening Remarks

Speaker



James Kemp
Managing Director, Global FX,
Technology and Operations
and Policy Divisions, GFMA
and AFME

09:40 - 09:45

Welcome Remarks

Speaker



Lisa Walker
Head of Compliance, ING

09:45 - 10:15

Keynote Address: Hanzo Van Beusekom Member of Executive Board, Dutch Authority Financial Markets
EU Financial Markets and Supervision; A Dutch Perspective

Speaker



Hanzo Van Beusekom
Member of Executive Board,
Dutch Authority Financial
Markets

PANEL DISCUSSION

10:15 - 11:05

Global Heads of Compliance – Views From The Top

OVERVIEW

- / Current trends and emerging risks
- / Evolution of the Compliance function
- / What keeps Heads of Compliance awake at night?

Moderator



Sarah Thompson
Partner, Arthur Cox

Speakers



Christine Lowthian
Global Head of Compliance,
Corporate and Institutional
Banking
HSBC



Christopher Singh
Group Head of CWR
Compliance, Barclays



Claudia Tarantino
Managing Director, JPMSE
CCO and Head of EEA
Compliance, JP Morgan SE



Es Nelson-Jones
Head of Conduct and
Compliance
Natwest

11:05 / Networking Break

11:35 - 12:00

Keynote Session: Dr. Markus Nielsen, Director General Operational Measures Collective Consumer Protection, BAFIN

Speaker



Markus Nielsen
Director General Operational
Measures Collective
Consumer Protection,
German Federal Financial
Supervisory Authority BaFin

Day 2 - Monday, 21 September 2026

PANEL DISCUSSION

12:00 - 12:50

The Evolving Regulatory Landscape

OVERVIEW

- / Managing global regulatory change
- / Key priorities for firms operating on a global scale
- / Understanding the influence of global politics

Moderator



Florent Bonnard
Counsel, Financial Services
Regulatory, A&O Shearman

Speakers



Claudia Gonzalez Cabanillas
Head of EMEA Regulatory
Affairs, JP Morgan



Florent Palaysi
Managing Director, Global
Head of Global Markets
Compliance, Credit Agricole



Iliana Lani
Head of Conduct Supervision
and Convergence
Department, European
Securities and Markets
Authority

12:50 / *Networking Lunch*

EXHIBITION HALL

13:15 - 13:35

Open Stage - Interview with the committee chairs

Speakers



Lisa Walker
Head of Compliance, ING



Michael Phillips
Head of UK Wholesale
Banking Compliance, Société
Générale

TRACK A

PANEL DISCUSSION

13:50 - 14:30

Digital Assets - Compliance and Legal Issues

OVERVIEW

- / Demonstrating MiCA Compliance
- / Authorisation notification frameworks
- / Regulatory developments

Moderator



Nick Bradbury
Partner, A&O Shearman

Speakers



John Siena
Associate General Counsel,
Brown Brothers Harriman
Brown Brothers Harriman



Mark Frith
Director, Global Markets &
Securities Services
Compliance, HSBC

TRACK B PANEL DISCUSSION

13:50 - 14:30

Delivering Effective Surveillance

OVERVIEW

- / Ownership & governance: Who's accountable for surveillance and how oversight works
- / Data & technology: Data quality, integration, and vendor risk
- / Regulatory focus: E-comms, recordkeeping, off-channel communications
- / Human judgment: Turning alerts into meaningful risk decisions

Moderator



Alex de Lucena
Director of Product &
Governance Strategy, Shield

Speakers



Anish Kalraiya
Executive Director, Head of
Surveillance UK, Crédit
Agricole



Carolina Montiel Alocen
Head of Governance,
Compliance UK, Rabobank



Christophe Bonnet
Head of Data & Surveillance,
Autorité des Marchés
Financiers



Paul Clulow-Philips
Global Head of Market Abuse
Surveillance
BNP Paribas

TRACK A

PANEL DISCUSSION

14:30 - 15:05

AI - Compliance and Legal Challenges

OVERVIEW

- / Regulatory challenges – the EU AI Act
- / Getting governance and accountability right
- / Deploying and managing AI agents
- / Compliance and Legal implications of developments in Frontier AI

Moderator



Fiona Willis
Director, CCA and Technology
& Operations, AFME

Speakers



Antonia Walters
Head of Legal Engineering
and Operation, Blee



Helena Vieira
Head of UK Compliance
Advisory for Functions, BNP
Paribas



Ralf Schönherr
Chief Executive Officer,
Myriad AI



Yasmine Benyelles-Rodio
Head of Continental Europe
Advisory, Crédit Agricole

TRACK C CLOSED SESSION

14:30 - 15:05

From Alert to Action: Managing Surveillance Workshop

OVERVIEW

- / Set the scene – introduce scenario: a trade, comms or market conduct alert has been triggered
- / Breakout analysis – split into smaller groups (5-8) and answer questions
- / Group discussion & debrief (groups to present)
- / Takeaways & actionable guidance – panellist(s) summarise practical tips

Moderator



Mark Cross
Director of Central
Compliance
StoneX

Speaker



James Burgess
Compliance and Surveillance
Technology Specialist,
Behavox

TRACK A PANEL DISCUSSION

15:10 - 15:45

DORA and Operational Resilience – what Compliance & Legal Need to Know

OVERVIEW

- / Demonstrating DORA compliance
- / Leveraging DORA in UK operational resilience

Moderator



Marcus Corry
Director, Technology &
Operations, AFME

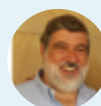
Speakers



Camilla McCloskey
Global Lead - Technology
Compliance, EMEA & Asia,
Morgan Stanley



Chris Reed
Head of Product and
Technology, Wordwatch



Eduardo Vilela
Head of Financial Services
Regulatory Enablement,
EMEA, AWS



Reena Patel
Head of Data Legal EMEA,
UBS

TRACK B PANEL DISCUSSION

15:10 - 15:45

Data: The role of Legal and Compliance functions

OVERVIEW

- / Risks and governance
- / Data quality and operational challenges
- / Regulatory expectations and enforcement
- / Key trends and areas of focus
- / Compliance and Legal considerations

Moderator



Seung Earm
Partner and Co-Founder, Ibex
Compliance

Speakers



Bert Verdoodt
Head of Compliance Large
Corporates & Institutions and
Sustainability, Danske Bank



Philipp Wiegand
Chief Executive Officer

15:50 / *Networking break*

PANEL DISCUSSION

16:10 - 16:50

2LoD Alignment – Legal, Compliance & Risk

OVERVIEW

- / How are roles and expectations changing?
- / Compliance and Risk – challenges and opportunities
- / The role of in-house legal

Moderator



Fiona Willis
Director, CCA and Technology
& Operations, AFME

Speakers



Greg McEneny
Director, Legal Policy &
Regulation, ING



Jonathan Stone
Head of EMEA Compliance
and Swap Dealer Chief
Compliance Officer, Nomura



Michael Phillips
Head of UK Wholesale
Banking Compliance, Société
Générale



Nicholas Hickman
Executive Director,
Compliance and Operational
Risk
UBS

PRESENTATION

16:50 - 17:00

Navigating the Impact of Geopolitical events

Moderator



Dan Arenson
Senior Director, Strategy &
Risk Advisory, J.S. Held

PANEL DISCUSSION

17:00 - 17:30

Navigating the Impact of Geopolitical events

OVERVIEW

- / Understanding the drivers and key challenges
- / Responding to increased global regulatory divergence
- / Reacting to emerging geostrategic events
- / The role of compliance (and other) functions

Moderator



Dan Arenson
Senior Director, Strategy &
Risk Advisory, J.S. Held

Speakers



Alistair Wellmann
Chief Compliance Officer,
Legal, AML & Privacy
TD Securities



Gail Neely
Partner, Latham & Watkins

17:30

Closing remarks

17:35 / 10th Anniversary Drinks reception

Sponsored by  **Blee**

08:30 / Welcome Registration

09:00 - 09:05

Opening remarks

09:05 - 09:15

Welcome Remarks

09:15 - 09:45

Keynote address: Marie-Anne Barbat-Layani, AMF

Speaker



Marie-Anne Barbat-Layani
Chair
Autorité des Marchés
Financiers

PANEL DISCUSSION

09:45 - 10:30

General Counsels Discussion

OVERVIEW

- / Key legal and regulatory challenges facing banks in the EU and UK
- / Coordination and collaboration: across the business and with external law firms
- / Priorities for 2027 and beyond
- / How the legal function is changing from a skills, risk and technology perspective

Moderator



Becky Critchley
Partner, Latham & Watkins

Speakers



Emmanuel Caradec
General Counsel, Europe,
Natixis Corporate and
Investment Banking



Matthias Bock
Managing Director and
General Counsel, Goldman
Sachs Bank Europe SE



Sarah Linstead
General Counsel, EMEA, TP
ICAP



William de Vreede
Global Head Legal Wholesale
Banking, ING

AFME VOICES LIVE PODCAST

10:30 - 11:00

Fireside Chat: Natasha Cazenave Executive Director, ESMA & James Kemp, Managing Director, AFME

Speakers



James Kemp
Managing Director, Global FX,
Technology and Operations
and Policy Divisions, GFMA
and AFME



Natasha Cazenave
Executive Director, European
Securities and Markets
Authority

11:00 / *Networking Break*

11:00 - 11:20

Open Stage : Why Diverse Skillsets Matter for Managing Non-Financial Risk

Moderator



Mark Cross
Director of Central
Compliance
StoneX

Speaker



Abisola Sherwood
Director, Global Governance
and Oversight, AML KYC, Citi

TRACK A PANEL DISCUSSION

11:30 - 12:15

Governance & Accountability

OVERVIEW

- / SMCR Review – assessing the impact of the changes
- / Lessons from SEAR, 3 years on
- / EU expectations on governance and suitability

Moderator



Robert Cain
Partner, Arthur Cox

Speakers



Bernd Rummel
Senior Policy Expert,
European Banking Authority



Neil McKeever
Director, Head of Senior
Managers Office, Citi Europe



Sophie McCarroll
Head of SMCR and Conduct,
HSBC

TRACK B PANEL DISCUSSION

11:30 - 12:15

Global Trends in Enforcement

OVERVIEW

- / What can we learn from recent regulatory actions
- / Key trends
- / What to expect from Enforcement in 2027

Moderator



Rob Moulton
Partner, Latham & Watkins

Speakers



Jean Christophe
Deputy Head, AML, French Prudential Supervision and Resolution Authority



Sarah Varley
Director, European Head of Regulatory Relations, Ireland Central Compliance
Scotiabank



Sophie Baranger
Managing Director, Head of Investigations and Controls, Autorité des Marchés Financiers

TRACK C

11:30 - 13:00

Future Leaders Round Table : Intentional Impressions

OVERVIEW

- / Becoming international with every interaction and relationship
- / Developing your network intentionally
- / Using body language to get across your message
- / Becoming impactful with small talk
- / Getting across who you are and what you offer

Speakers



Abisola Sherwood
Director, Global Governance and Oversight, AML KYC, Citi



Lisa Walker
Head of Compliance, ING



Liv Blaney
Executive, Mindset and Neurodiversity Coach

12:15 - 13:00

MiFID Conduct

OVERVIEW

- / EU RIS
- / UK Client Categorisation
- / Conflicts of Interest
- / Regulatory Developments

Moderator



Giulia Pecce
Director, Head of Secondary
Capital Markets and
Wholesale Investor Protection
AFME

Speakers



Pauline Laurent
Public & Regulatory affairs,
BNP Paribas Global Market



Rachel Cockshutt
Head of Capital Markets
Compliance, Europe, RBC
Capital Markets



Stefano Alifano
Head of Compliance Conduct
Oversight, Mediobanca

12:15 - 13:00

Handling Internal and Regulatory Investigations

OVERVIEW

- / Best practices in conducting internal, government-related, and cross-border investigations
- / Managing contentious enforcement enquiries from their earliest stages through completion
- / Crafting solutions that address regulatory implications and potential follow-up litigation
- / Global data privacy mandates (GDPR) and the protection of confidential information
- / Use of robust data analytics to manage settlements

Moderator

Stephen Strombelline
Managing Director, J.S. Held

Speakers

Mark Taylor
Partner and Co-Founder, Ibex
Compliance



Shaun Hurst
Principal Regulatory Advisor,
Smash

13:00 / Kindly sponsored by Latham & Watkins
Women in Compliance Networking Lunch

***Sponsored
by*** LATHAM & WATKINS

13:00 / ***Networking Lunch***

13:30 - 14:00

Open Stage: Source of Wealth in Crypto: Where On-Chain Analysis Stops, and What Comes Next

Speaker



Pavlina Pavlova
Chief Executive Officer and
Co-Founder, ChainComply

Sponsors



PANEL DISCUSSION

14:15 - 15:00

Simplification and Competitiveness

OVERVIEW

- / Challenges with divergent regimes
- / Assessing the cost for markets
- / Opportunities for reform and better outcomes for market participants
- / Views from regulators

Moderator



Matthew Harley
Associate Director, UK
Advocacy, AFME

Speakers



Michael Hatchett
Head of International Policy
and Strategy, Bank of
England



Niccolo Pagani
Director, EU Regulatory
Engagement and Advocacy,
Standard Chartered



Sabine Dittrich
Head of Government and
Regulatory Policy and
Relations, Europe | PPCR,
Barclays

Day 3 - Tuesday, 22 September 2026

15:00 - 15:30

Beyond Compliance: Judgement, Culture and Behavioural Capability

OVERVIEW

- / Where the industry stands today on conduct, culture and non-financial risk
- / The next priority areas for driving meaningful behavioural change and better outcomes
- / Leadership in Practice: accountability, culture and behavioural capability

Moderator



Javier Munoz
Technical Director, Financial
Markets Standards Board

Speakers



Darren Jarvis
Head of International
Controls, Citi Europe



Elianne van Steenbergen
Senior Supervisor and
Professor, AFM and Utrecht
University in the Psychology
of Regulation



Robert Cruz
Vice President, Regulatory &
Information Governance,
Smash

15:30

Closing Remarks