

CONFERENCE

AFME's European Compliance and Legal Conference 2026

21 September 2026 / The Pullman, Montparnasse

Day 1 - Monday, 21 September 2026

08:45 / Welcome Registration

09:20 / Opening Remarks

09:40 / Welcome Remarks

09:45 / Keynote Address: Hanzo Van Beusekom Member of Executive Board, Dutch Authority
Financial Markets

EU Financial Markets and Supervision; A Dutch Perspective

SPEAKER



Hanzo Van Beusekom

Member of Executive Board, Dutch Authority Financial Markets

Day 1 - Monday, 21 September 2026

Panel discussion

10:15 / **Global Heads of Compliance – Views From The Top**

OVERVIEW

- / Current trends and emerging risks
- / Evolution of the Compliance function
- / What keeps Heads of Compliance awake at night?

SPEAKERS



Christine Lowthian

Global Head of Compliance, Corporate and Institutional Banking, HSBC



Es Nelson-Jones

Head of Conduct and Compliance, NatWest



Christopher Singh

Group Head of CWR Compliance, Barclays



Claudia Tarantino

Chief Compliance Officer, JP Morgan

11:00 / **Networking Break**

11:30 / **Fireside Chat: Rupert Schaefer, Chief Executive Director Strategy, BAFIN & Adam Farkas, CEO, AFME**

12:00 / [Panel discussion](#)
The Evolving Regulatory Landscape

OVERVIEW

- / Managing global regulatory change
- / Key priorities for firms operating on a global scale
- / Understanding the influence of global politics

SPEAKERS



Claudia Gonzalez Cabanillas

Head of EMEA Regulatory Affairs, JP Morgan



Iliana Lani

Head of Conduct Supervision and Convergence Department at European Securities, ESMA



Florent Palaysi

Managing Director, Global Head of Global Markets Compliance, Credit Agricole

12:45 / **Networking Lunch**

13:45 / [Panel discussion](#)
Delivering Effective Surveillance

Track A

OVERVIEW

- / Ownership & governance: Who's accountable for surveillance and how oversight works
- / Data & technology: Data quality, integration, and vendor risk
- / Regulatory focus: E-comms, recordkeeping, off-channel communications
- / Human judgment: Turning alerts into meaningful risk decisions

SPEAKER



Paul Clulow-Philips

Global Head of Market Abuse Surveillance, BNP Paribas

13:45 / **Panel discussion**
Digital Assets - Compliance and Legal Issues

Track B

OVERVIEW

- / Demonstrating MiCA Compliance
- / Authorisation notification frameworks
- / Regulatory developments

SPEAKERS



Pedro Casanova

Legal Front EU New Markets and Digital Assets, BBVA



Mark Frith

Director, Global Markets & Securities Services Compliance



John Siena

Associate General Counsel and Co-Head of Regulatory Strategy, Brown Brothers Harriman

14:25 / **Closed Session**
From Alert to Action: Managing Surveillance Workshop

Track A

OVERVIEW

- / Set the scene – introduce scenario: a trade, comms or market conduct alert has been triggered
 - / Breakout analysis – split into smaller groups (5-8) and answer questions
 - / Group discussion & debrief (groups to present)
 - / Takeaways & actionable guidance – panellist(s) summarise practical tips
-

14:25 / **Panel discussion**
AI - Compliance and Legal Challenges

Track B

OVERVIEW

- / Regulatory challenges – the EU AI Act
- / Getting governance and accountability right
- / Deploying and managing AI agents

SPEAKER



Helena Viera

Head of Advisory for UK Functions, BNP Paribas

15:05 / **Panel discussion**
Data: The role of Legal and Compliance functions

Track A

OVERVIEW

- / Risks and governance
- / Data quality and operational challenges
- / Regulatory expectations and enforcement
- / Key trends and areas of focus
- / Compliance and Legal considerations

SPEAKER



Bert Verdoodt

Head of Compliance Large Corporates & Institutions and Sustainability, Danske Bank

15:05 / **Panel discussion**
DORA and Operational Resilience – what Compliance & Legal Need to Know

Track B

OVERVIEW

- / Demonstrating DORA compliance
- / Leveraging DORA in UK operational resilience

SPEAKER



Camilla McCloskey

Technology Regulatory Lead, EMEA & Asia, Morgan Stanley

15:45 / **Networking break**

16:05 / **Panel discussion**
2LoD Alignment – Legal, Compliance & Risk

OVERVIEW

- / How are roles and expectations changing?
- / Compliance and Risk – challenges and opportunities
- / The role of in-house legal

SPEAKERS



Nicholas Hickman

Executive Director, Compliance and Operational Risk, UBS



Greg McEneny

Director, Legal Policy & Regulation, ING



Michael Phillips

Head of UK Wholesale Banking Compliance, Société Générale



Jonathan Stone

Head of EMEA Compliance and Swap Dealer Chief Compliance Officer, Nomura

Day 1 - Monday, 21 September 2026

Panel discussion

16:40 / Navigating the Impact of Geopolitical events

OVERVIEW

- / Understanding the drivers and key challenges
- / Responding to increased global regulatory divergence
- / Reacting to emerging geostrategic events
- / The role of compliance (and other) functions

SPEAKER



Georgi Korchev

Director, Co-Head of UK GA Compliance Advisory, Rothschild & Co

17:10 / Closing remarks

17:30 / 10th Anniversary Drinks reception

08:30 / Welcome Registration

08:30 / Welcome Registration

09:00 / Opening remarks

09:05 / Welcome Remarks

09:15 / [Panel discussion](#)
General Counsels Discussion

OVERVIEW

- / Key legal and regulatory challenges facing banks in the EU and UK
- / Coordination and collaboration: across the business and with external law firms
- / Priorities for 2027 and beyond
- / How the legal function is changing from a skills, risk and technology perspective

SPEAKERS



Matthias Bock

Managing Director and General Counsel, Goldman Sachs



Gregory Todd

General Counsel, LatAm and MLI Legal Executive, Bank of America



William de Vreede

Global Head Legal Wholesale Banking, ING

10:00 / Keynote Address: Natasha Cazenave Executive Director, ESMA

SPEAKER



Natasha Cazenave

Executive Director, European Securities and Markets Authority

10:30 / Networking Break

11:00 / Panel discussion
Governance & Accountability

Track A

OVERVIEW

- / SMCR Review – assessing the impact of the changes
- / Lessons from SEAR, 3 years on
- / EU expectations on governance and suitability

SPEAKERS



Djamel Bouzemarene

Team Leader Regulation, European Banking Authority



Sophie McCarroll

Head of SMCR and Conduct, HSBC

11:00 / **Global Trends in Enforcement**

Track B

OVERVIEW

- / What can we learn from recent regulatory actions
- / Key trends
- / What to expect from Enforcement in 2027

SPEAKER



Sarah Varley

Director, European Head of Regulatory Relations, Ireland Central Compliance, Scotiabank

11:00 / **Future Leaders Round Table**

Track C

11:45 / **MiFID Conduct**

Track A

OVERVIEW

- / EU RIS
- / UK Client Categorisation
- / Conflicts of Interest
- / Regulatory Developments

Day 2 - Tuesday, 22 September 2026

11:45 / Handling Internal and Regulatory Investigations

Track B

OVERVIEW

- / Best practices for conducting internal reviews, enforcement inquiries and remediation efforts
- / Maintaining independence while satisfying regulator expectations
- / Challenges when representing the company and employees

MODERATOR



Stephen Strombelline

Managing Director, J.S. Held

12:20 / Kindly sponsored by Latham & Watkins
Women in Compliance
Networking Lunch

12:20 / **Networking Lunch**
Networking Lunch

13:40 / Fireside Chat

SPEAKER



Adam Farkas

Chief Executive Officer, AFME

Day 2 - Tuesday, 22 September 2026

14:00 / **Panel discussion**
Simplification and Competitiveness

OVERVIEW

- / Challenges with divergent regimes
- / Assessing the cost for markets
- / Opportunities for reform and better outcomes for market participants
- / Views from regulators

SPEAKERS



Edwin Schooling Latter

Managing Director Head of Compliance, Mizuho



Guillaume Loeuille

Chief Compliance Officer, Wholesale Banking, Société Générale

14:50 / **Panel discussion**
Conduct and Behavioural Change in Financial Services

OVERVIEW

- / Where the industry stands today
 - / What should be the next focus to deliver better outcomes
 - / Leadership responsibility for behaviour and culture
-

15:15 / **Closing Remarks**
