

CONFERENCE

AFME's European Compliance and Legal Conference 2026

21 September 2026 / The Pullman, Montparnasse, Paris

Day 1 - Monday, 21 September 2026

08:45 / Welcome Registration

09:20 / Opening Remarks

09:40 / Welcome Remarks

09:45 / Keynote Address: Hanzo Van Beusekom Member of Executive Board, Dutch Authority
Financial Markets

SPEAKER



Hanzo Van Beusekom

Member of Executive Board, Dutch Authority Financial Markets

Day 1 - Monday, 21 September 2026

10:15 / **Panel discussion**
What keeps global Heads of Compliance awake at night?

OVERVIEW

- / Current trends and emerging risks
- / Evolution of the Compliance function
- / What keeps Heads of Compliance awake at night?

SPEAKERS



Christopher Singh

Group Head of CWR Compliance, Barclays



Claudia Tarantino

Head of Credits and Rates, JP Morgan

11:00 / **Networking Break**

11:30 / **Fireside Chat: Rupert Schaefer, Chief Executive Director Strategy, BAFIN & Adam Farkas, CEO, AFME**

Day 1 - Monday, 21 September 2026

12:00 / **Panel discussion**
The Evolving Regulatory Landscape

OVERVIEW

- / Managing global regulatory change
- / Key priorities for firms operating on a global scale
- / Understanding the influence of global politics

SPEAKERS



Claudia Gonzalez Cabanillas

Head of EMEA Regulatory Affairs, JP Morgan



Iliana Lani

Head of Ratings, Indices & Securitisation, ESMA



Florent Palaysi

Global Head of Global Markets Regulatory Compliance, Credit Agricole

12:45 / **Networking Lunch**

13:45 / **Panel discussion**
The Role of Data in Effective Surveillance

Track A

OVERVIEW

- / Identifying data owners
- / Establishing effective data governance
- / Enhanced surveillance efficiency
- / Regulatory and third-party challenges

13:45 / **Digital Assets - Compliance and Legal Issues**

Panel discussion

Track B

OVERVIEW

- / Demonstrating MiCA Compliance
- / Authorisation notification frameworks
- / Regulatory developments

SPEAKER



Mark Frith

Director, Global Markets & Securities Services Compliance

14:25 / **Surveillance Continued**

Panel discussion

Track A

OVERVIEW

- / Trade surveillance
- / Comms surveillance
- / Lessons from enforcement activity
- / Supervisory expectations

14:25 / **AI - Compliance and Legal Challenges**

Panel discussion

Track B

OVERVIEW

- / Regulatory challenges – the EU AI Act
- / Getting governance and accountability right
- / Deploying and managing AI agents

SPEAKER



Helena Viera

Head of Advisory for UK Functions, BNP Paribas

Panel discussion

15:05 / **Data: The role of Legal and Compliance functions**

Track A

OVERVIEW

- / Risks and governance
- / Data quality and operational challenges
- / Regulatory expectations and enforcement
- / Key trends and areas of focus
- / Compliance and Legal considerations

SPEAKER



Bert P.J. Verdoodt

Head of Compliance Large Corporates & Institutions and Sustainability, Dankse Bank

Panel discussion

15:05 / **DORA and Operational Resilience – what Compliance & Legal Need to Know**

Track B

OVERVIEW

- / Demonstrating DORA compliance
- / Leveraging DORA in UK operational resilience

SPEAKER



Camilla McCloskey

Technology Regulatory Lead, EMEA & Asia, Morgan Stanley

15:45 / **Networking break**

Day 1 - Monday, 21 September 2026

16:05 / [Panel discussion](#)
2LoD Alignment – Legal, Compliance & Risk

OVERVIEW

- / How are roles and expectations changing?
- / Compliance and Risk – challenges and opportunities
- / The role of in-house legal

SPEAKERS



Nicholas Hickman

Executive Director, Compliance and Operational Risk, UBS



Michael Phillips

Head of UK Wholesale Banking Compliance, Société Générale



Jonathan Stone

Head of EMEA Compliance and Swap Dealer Chief Compliance Officer, Nomura

16:40 / [Panel discussion](#)
Navigating the Impact of Geopolitical events

OVERVIEW

- / Understanding the drivers and key challenges
- / Responding to increased global regulatory divergence
- / Reacting to emerging geostrategic events
- / The role of compliance (and other) functions

SPEAKER



Georgi Korchev

Director, Co-Head of UK GA Compliance Advisory, Rothschild & Co

17:10 / **Closing remarks**

17:30 / **10th Anniversary Drinks reception**

Day 2 - Tuesday, 22 September 2026

08:30 / Welcome Registration

08:30 / Welcome Registration

09:00 / Opening remarks

09:05 / Welcome Remarks

09:15 / [Panel discussion](#)
General Counsels Discussion

OVERVIEW

- / Key legal and regulatory challenges facing banks in the EU and UK
- / Coordination and collaboration: across the business and with external law firms
- / Priorities for 2027 and beyond
- / How the legal function is changing from a skills, risk and technology perspective

SPEAKERS



Matthias Bock

Managing Director and General Counsel, Goldman Sachs



Gregory Todd

General Counsel, LatAm and MLI Legal Executive, Bank of America



William de Vreede

Global Head Legal Wholesale Banking, ING

10:00 / Keynote Address: Natasha Cazenave Executive Director, ESMA

SPEAKER



Natasha Cazenave

Executive Director, ESMA

10:30 / Networking Break

11:00 / Panel discussion
Governance & Accountability

Track A

OVERVIEW

- / SMCR Review – assessing the impact of the changes
- / Lessons from SEAR, 3 years on
- / EU expectations on governance and suitability

SPEAKER



Djamel Bouzemarene

Team Leader Regulation, European Banking Authority

11:00 / Panel discussion
Global Trends in Enforcement

Track B

OVERVIEW

- / What can we learn from recent regulatory actions
- / Key trends
- / What to expect from Enforcement in 2027

Day 2 - Tuesday, 22 September 2026

11:00 / Future Leaders Round Table

Track C

11:45 / Panel discussion
MiFID Conduct

Track A

OVERVIEW

- / EU RIS
- / UK Client Categorisation
- / Conflicts of Interest
- / Regulatory Developments

11:45 / **Handling Internal and Regulatory Investigations**

Track B

OVERVIEW

- / Best practices for conducting internal reviews, enforcement inquiries and remediation efforts
- / Maintaining independence while satisfying regulator expectations
- / Challenges when representing the company and employees

Kindly sponsored by Latham & Watkins
12:20 / **Women in Compliance
Networking Lunch**

Networking Lunch
12:20 / **Networking Lunch**

13:40 / Fireside Chat

SPEAKER



Adam Farkas

Chief Executive Officer, AFME

14:00 / **Panel discussion**
Simplification and Competitiveness

OVERVIEW

- / Challenges with divergent regimes
- / Assessing the cost for markets
- / Opportunities for reform and better outcomes for market participants
- / Views from regulators

SPEAKER



Edwin Schooling Latter

Managing Director Head of Compliance, Mizuho

14:50 / **Panel discussion**
Conduct and Behavioural Change in Financial Services

OVERVIEW

- / Where the industry stands today
 - / What should be the next focus to deliver better outcomes
 - / Leadership responsibility for behaviour and culture
-

15:15 / Closing Remarks
