

CONFERENCE

AFME's European Compliance and Legal Conference 2025

22 September 2025 / The Londoner, 50 Whitcomb St., London

Day 1 - Monday, 22 September 2025

08:45 / Registration and refreshments

09:20 / Opening remarks

SPEAKER



James Kemp

Head of Technology and Operations, and CCA, AFME

09:30 / Welcome Remarks

SPEAKER



Bharat P Samani

Managing Director and Head of Compliance UK, Continental Europe & Middle East, BBVA

09:45 / Keynote Address

SPEAKER



Therese Chambers

Executive Director of Enforcement and Market Oversight, Financial Conduct Authority

Day 1 - Monday, 22 September 2025

10:15 / **Panel discussion**
Heads of Compliance

OVERVIEW

- / Evolution of the compliance function
- / New risks and current trends
- / What keeps Global Heads of Compliance awake at night?

MODERATOR



Rob Moulton

Partner, Latham & Watkins

SPEAKERS



Simon Goodwin

Chief Compliance Officer UK, Europe & APAC, Royal Bank of Canada



Guillaume Loeuille

Chief Compliance Officer, Wholesale Banking, Société Générale



Christopher Singh

Group Head of CWR Compliance, Barclays



Majella Walsh

Chief Compliance Officer, Europe & Global Head of Capital Markets Compliance, Scotiabank

11:00 / **Networking Break**

11:30 / **Fireside Chat**

SPEAKERS



Adam Farkas

CEO, AFME



Tanya Panova

Head of Securities Markets, European Commission

Day 1 - Monday, 22 September 2025

12:00 / **Panel discussion**
Global Regulatory Landscape

OVERVIEW

- / Managing global regulatory change
- / Key priorities for firms operating on a global scale
- / Understanding the influence of global politics

MODERATOR



Peter Bevan

Global Chair of Financial Regulation Group, Linklaters

SPEAKERS



Charles Canonne

Finance Counsellor, EU Delegation to the UK



Javier Francisco Muñoz del Guayo

MD – Chief Compliance Officer Europe, SCIB Compliance, Santander



Michael Hatchett

Head of International Policy and Strategy, Bank of England



Edwin Schooling Latter

Managing Director Head of Compliance UK, Mizuho

12:45 / **Networking Lunch**

13:45 / **Panel discussion**
Investor Protection

Track A

OVERVIEW

- / Current areas of focus in the EU and UK
- / Navigating the wholesale/retail split
- / Supervisory expectations

MODERATOR



Sara Cody

Counsel, Linklaters

SPEAKERS



Alexandria Carr

Head of Financial Services Regulation, Societe Generale



Nadine Jatto

Government Affairs Director, UBS



Raza Naeem

Partner, Linklaters



Elizabeth Purdy

Compliance Risk Specialist, Lloyds Bank

13:45 / **Panel discussion**
2LoD Alignment – Legal, Compliance & Risk

Track B

OVERVIEW

- / How are roles and expectations changing?
- / Compliance and Risks – challenges and opportunities
- / The role of in-house legal

MODERATOR



Clare McMullen

Partner, Financial Regulation Group, Linklaters

SPEAKERS



Stefano Alifano

Head of Compliance Conduct Oversight, Mediobanca



Seung Earm

Partner and Co-Founder, Ibex Compliance



Georgi Korchev

Director, Co-Head of UK GA Compliance Advisory, Rothschild & Co



Michael Phillips

Head of UK Wholesale Banking Compliance, Société Générale

14:25 / **Panel discussion**
Spotlight on Surveillance

Track A

OVERVIEW

- / Surveillance data management
- / Regulatory and supervisory considerations
- / Risk Management and Technology Innovation

SPEAKERS



Mark Cross

Director, Central Compliance, StoneX



Joep Knook

Senior Supervision, AFM



Alex de Lucena

Director of Surveillance and Governance Strategy, Shield



Robert Mangham

Technical Specialist, Financial Conduct Authority

14:25 / **Panel discussion**
Data: The role of Legal and Compliance functions

Track B

OVERVIEW

- / Risks and governance
- / Data quality and operational challenges
- / Regulatory expectations and enforcement
- / Key trends and areas of focus
- / Compliance and Legal considerations

MODERATOR



Robert Cruz

Vice President, Regulatory & Information Governance, Smarsh, Inc

SPEAKERS



Anulka Clarke

Regulatory Director, Information Commissioner's Office



Clare Hitchcock

UK Compliance, Head of Protection of Interests of Clients, Professional Ethics and Regulatory Affairs, BNP Paribas



Tony Pestell

Head of Compliance and Financial Crime Governance, UK & Europe, Standard Chartered Bank

15:05 / **Panel discussion**
Governance & Accountability

Track A

OVERVIEW

- / Assessing the impact of revisions to UK remuneration rules
- / Proposed changes to the certification regime
- / SMCR – current areas of focus

MODERATOR



Amy Sumaria

Managing Associate, Simmons & Simmons

SPEAKERS



Aaron Caplan

Head of Central Compliance & SMCR, Nomura



Leslie Coombs

Director, UK SMCR, Citi



Katherine Mills

Head of Compliance (London Branch), Intesa Sanpaolo



Richard Waite

Senior Manager, Governance, Remuneration and Controls, Bank of England

15:45 / **Networking Break**

Day 1 - Monday, 22 September 2025

16:05 / **Panel discussion**
Technology and Compliance

OVERVIEW

- / Embracing the AI revolution
- / Implementing Operational Resilience and DORA requirements
- / Governance frameworks for digital assets and crypto

MODERATOR



Nick Bradbury

Partner, A&O Shearman

SPEAKERS



Aman Luther

Head of AI, Strategy, Policy and Risk Groups, AFME



John Thiede

Associate General Counsel, Head of Financial Regulation, HSBC Markets & Securities Services Legal, HSBC



Dina White

General Counsel, Zodia Markets

16:40 / **Fireside Chat**
Diversity & Inclusion

SPEAKERS



Sheree Howard

Executive Director of Authorisations, Financial Conduct Authority



Melissa Soh

Head, Diversity & Inclusion, Standard Chartered Bank

17:10 / **Closing Remarks**

Day 1 - Monday, 22 September 2025

17:15 / Networking Drinks Reception

Breakfast Briefing

08:15 / **The Art of Buying: How Santander selected a new surveillance platform**

OVERVIEW

- / Building a successful surveillance programme
- / Mapping operational needs and measuring success
- / Enhancing alert effectiveness and investigative capabilities
- / Securing data ingestion and alerting
- / Prioritising user experience and evaluating vendor partnerships
- / Exploring AI-driven communications surveillance, including near-term use cases and contextual surveillance

SPEAKERS



Alex de Lucena

Director of Surveillance and Governance Strategy, Shield



David Lewis

Surveillance Manager, Santander

Breakfast Briefing

08:15 / **The Art of Buying: How Santander selected a new surveillance platform**

OVERVIEW

- / Building a successful surveillance programme
- / Mapping operational needs and measuring success
- / Enhancing alert effectiveness and investigative capabilities
- / Securing data ingestion and alerting
- / Prioritising user experience and evaluating vendor partnerships
- / Exploring AI-driven communications surveillance, including near-term use cases and contextual surveillance

SPEAKERS



Alex de Lucena

Director of Surveillance and Governance Strategy, Shield



David Lewis

Surveillance Manager, Santander

08:30 / Registration and refreshments

09:00 / Welcome remarks

09:05 / Opening Remarks

SPEAKER



Lisa Walker

Wholesale Banking Compliance EMEA Lead, ING

09:15 / [Panel discussion](#)
General Counsels Discussion

OVERVIEW

- / Key legal and regulatory challenges facing banks in the EU and UK
- / Coordination and collaboration: across the business and with external law firms
- / Priorities for 2025 and beyond
- / How the legal function is changing from a skills, risk and technology perspective

MODERATOR



Sarah Thompson

Partner and Head of Financial Regulation and ESG, Arthur Cox

SPEAKERS



Sarah Linstead

General Counsel, EMEA, TP ICAP



Gregory Todd

General Counsel, Latin America; Co-General Counsel, Europe, Middle East and Africa, Bank of America



Daniel Winterfeldt

Managing Director, General Counsel for EMEA & Asia, Jefferies

10:00 / Fireside Chat

SPEAKERS



Adam Farkas

CEO, AFME



Derville Rowland

Executive Board Member, AMLA

10:30 / Networking break

11:00 / [Panel discussion](#)
Anti Money Laundering Reform

Track A

OVERVIEW

- / Implementation of the EU AML Package
- / What to expect from AMLA
- / Global standards and alignment

MODERATOR



Mark Taylor

Partner, Co-Founder, Ibex

SPEAKERS



Jose Arevalo

Director, Regulatory Risk & Control Oversight (Financial Crime & Markets), Commerzbank



Barley Corr

Head of CDD Strategic Design and Delivery, Rabobank



Adrianna Fabijanska

Global Head Financial Crime Compliance – Investment Banking, ING

11:00 / **Panel discussion**
Global trends in Enforcement

Track B

OVERVIEW

- / What can we learn from recent regulatory actions
- / Where to focus in 2025 and beyond

MODERATOR



Nell Perks

Partner, Latham & Watkins

SPEAKERS



Katie Fry-Paul

Senior Legal Counsel, Coinbase



Ben Macdonald

Head of Group Legal, Rothschild & Co



Stephen Strombelline

Managing Director, J.S. Held

Day 2 - Tuesday, 23 September 2025

11:00 / Panel discussion
Future Leaders Round table

Track C

OVERVIEW

/ Power Up Your Personal Brand

SPEAKERS



Joanna Conlon

Executive Coach, Executive Coach Finance



Rachel Mann

Co-Chair Future Leaders Network - Women in Banking and Finance'



Shannon Oleson

Associate Director of Sales and Relationship Management, Calastone

11:40 / **Panel discussion**
Advancing the Fight Against Financial Crime and AML

Track A

OVERVIEW

- / Trends and priorities
- / Views from the FIUs
- / Information sharing and public private partnerships

MODERATOR



Robert Cain

Partner, Financial Regulation, Arthur Cox

SPEAKERS



Tony Dixon

Head of EMEA Financial Crimes Compliance & MLRO, Global Head of Corporate Trust & Depositary Receipts Compliance, BNY



Effrosyni Fygka

Specialist, Stakeholder Management Team, Financial Crime Unit, EFEC, Europol



Kathryn Westmore

Senior Research Fellow, CFS, RUSI



Simon Welch

National Co-ordinator of the Economic Crime Portfolio, National Police Chiefs' Council

11:40 / **Panel discussion**
Handling Internal and Regulatory Investigations

Track B

OVERVIEW

- / Best practices in conducting internal, government and cross-border investigations
- / Managing contentious enforcement enquiries and disputes from their earliest stages through settlement
- / Crafting solutions that address regulatory implications and potential follow-up litigation
- / Use of robust data analytics to manage settlements

MODERATOR



Stephen Strombelline

Managing Director, J.S. Held

SPEAKERS



Elisabeth Bremner

Partner, CMS



Sarah Hitchins

Partner, A&O Shearman



Daniel Winterfeldt

Managing Director, General Counsel for EMEA & Asia, Jefferies

12:20 / **Networking Lunch**

13:40 / **Fireside chat**

SPEAKERS



Natasha Cazenave

Executive Director, ESMA



James Kemp

Head of Technology and Operations, and CCA, AFME

Day 2 - Tuesday, 23 September 2025

14:00 / **Panel discussion**
Navigating Non-Financial Misconduct

OVERVIEW

- / Unpacking the revised and new rules
- / The role of Behaviour and Character
- / Practical Challenges for Firms
- / Regulatory Expectations

MODERATOR



Lucy McNulty

Editor, Following the Rules

SPEAKERS



Corey Crossan

Research & Teaching Fellow, The Oxford Character Project



Christian Hunt

Founder, Human Risk



Shaun Hurst

EMEA Principal Regulatory Advisor, Smarsh



Roger Miles

Behavioural Scientist; Faculty Lead, Conduct Leadership Academy, UK Finance



Ted MacDonald

Technical Director, FMSB

14:50 / **Presentation**
Navigating the impact of Geopolitical events

SPEAKER



Philip Worman

Senior Managing Director, J.S. Held

Day 2 - Tuesday, 23 September 2025

15:15 / Closing remarks
