

CONFERENCE

OPTIC 2023 (Operations, Post-Trade, Technology & Innovation Conference)

17 October 2023 / Radisson Collection Hotel

Day 1 - Tuesday, 17 October 2023

08:00 / Welcome registration and refreshments

09:00 / Opening remarks

MODERATOR



James Kemp

Managing Director, Global FX, Technology and Operations and Policy Divisions, GFMA and AFME

Day 1 - Tuesday, 17 October 2023

09:15 / **Panel discussion**
The View from the Top

A panel of senior C-suite level executives assess the current capital markets landscape in Europe

OVERVIEW

- / What major technology initiatives are in flight? What is driving these? (Client demand, revenue generation, cost efficiencies?)
- / Is it easy to be innovative in Europe? Does the current regulatory framework enable Europe-based firms to be competitive on a global basis?
- / How can technology be leveraged to deliver policy goals, and what are the key opportunities and threats in the coming months and years?

MODERATOR



James Kemp

Managing Director, Global FX, Technology and Operations and Policy Divisions, GFMA and AFME

SPEAKERS



Clare Francis

Senior Advisor, Chair and Non-Exec, Provenance Blockchain Foundation



Philippe Laurensy

Head of Group Strategy, Product Management, and Innovation, Euroclear group

Stephen Martin

Head of Security Compliance, Amazon Web Services

Rima Varsy

Head of Investment Technology Europe, Vanguard

10:10 / **SPOTLIGHT session**
Real world use cases of how technology has been deployed in capital markets organisations

10:20 / Being Ready for the Digital Age

Keynote Address

SPEAKER



Verena Ross

Chair, European Securities and Markets Authority (ESMA)

10:45 / Networking Break

11:15 / Panel discussion Securing your business in the Digital Age

Stream A

OVERVIEW

- / The rise of ransomware and what firms can do to mitigate their cyber risks
- / Is digital op res changing your business model
- / How industry can support regulators in shaping a global safety net/response?

MODERATOR

Marcus Corry

Associate Director, Technology & Operations, AFME

SPEAKERS

Matthew Field

Executive Director - Head of Cyber and Tech Policy & Partnerships, EMEA, J.P. Morgan



Dr Melanie Garson

Cyber Policy Lead, Tony Blair Institute for Global Change



Greg Ros

Technical Advisor, FSB Working Group on Cyber Incident Reporting, Bank of England

11:15 / **Panel discussion**
Building a competitive landscape for European Market Infrastructures

Stream B

OVERVIEW

- / Can more be done to engender true competition across market infrastructures and deliver cost efficiencies?
- / Are current trends in CCP models enhancing or restricting competitiveness?
- / Who are the likely winners and losers from digital transformation?

MODERATOR

Camille Papillard

Head of FI&C Client Line for EMEA, BNP Paribas

SPEAKERS

-  **Pierre Davoust**
Head of Central Securities Depositories, Euronext
 -  **Karel Lannoo**
Chief Executive Officer, Centre for European Policy Studies
 -  **Randy Priem**
Coordinator of the Markets and Post-trading Unit, professor of finance, FSMA, UBI Business School and Antwerp Management School
-

Day 1 - Tuesday, 17 October 2023

12:00 / **Panel discussion**
Automating the Post Trade Lifecycle

Stream B

MODERATOR



Peter Tomlinson

Director, Post Trade and Prime Services, AFME

SPEAKERS



Karan Kapoor

Global Head of Regulatory Consulting, Delta Capita



Remi Lagane

Senior Post Trade Processing Expert, Murex

John Worden

Head of Custody & Post Trade Strategy, UBS

12:00 / **Panel discussion**
Resilience and Risk Management in 2023 and Beyond

Stream A

OVERVIEW

- / How will due diligence processes evolve in years to come? Can new technologies be leveraged to reduce risks, or do they create new ones?
- / How have banks and infrastructures altered business models to absorb external shocks and adapt to a changing operational environment?
- / How can multi-national organisations address geopolitical risk? What lessons can be learned from recent crises?

MODERATOR

Marcus Corry

Associate Director, Technology & Operations, AFME

SPEAKERS



Amy Lee

Senior Manager, International Engagement Team, Sector Resilience Division, Prudential Regulation Authority, Bank of England



Mattias Levin

Deputy Head of Unit, DG for Financial Stability, Financial Services and Capital Markets Union, European Commission



Brett McGowan

Associate Director, Technology Risk & Resilience, Protiviti

12:45 / **Networking Lunch**

OVERVIEW

- / Served in the Exhibition Zone

12:45 / Women of OPTIC lunch (guestlist only)

Hosted by Amazon Web Services

OVERVIEW

- / Please contact emma.bagge@afme.eu for more information on how to register

13:45 / Panel discussion The Data behind the Digital Economy – trends you need to know

Stream A

OVERVIEW

- / Drivers of Data Sovereignty – how are geopolitics and increased localisation shaping the bigger picture?
- / Global vs. Sovereign Clouds – what is the solution?
- / Will Open Finance come to pass and how do the EU and UK approaches compare?
- / What are the challenges to be considered when developing a robust data economy? (e.g. interoperability, data security, data privacy, competition, innovation)

MODERATOR



Daniel Pujazón

Director Corporate Public Policy, Banco Santander

SPEAKERS



Davide Corda

Senior Director Group Technology Data, Intesa Sanpaolo



Adriana Ennab

Director of Public Policy, Credit Suisse



Raphaël Lemahieu

Policy Officer, European Commission

13:45 / **Panel discussion**
ESG: It's all about the data

Stream B

OVERVIEW

- / What role do intermediaries play?
- / How is new technology being used?
- / What is the secret to ESG data management?

MODERATOR

Marcus Corry

Associate Director, Technology & Operations, AFME

SPEAKERS

-  **Isabelle Delorme**
Head of Strategy and Product Expansion for Issuers, Fund Managers and Sustainable Finance, Euroclear Group
 -  **Matthew Mernagh**
Head of ESG data for Sustainability Data and Technology, Deutsche Bank
 -  **Karen Smith**
Managing Director, Data and Analytics, Protiviti
 -  **Michiel de Smet**
Sustainable Investment Expert, National Bank of Belgium
-

14:30 / **Panel discussion**
Quantum Computing

Stream A

OVERVIEW

- / A closer look at the potential of quantum computing to accelerate existing financial services that require high performance computer power.
- / What are the real world use case opportunities and threats this new technology delivers?
- / Where does it have a role to play and what does the future look like?

MODERATOR



Mohit Sarvaiya

International CIO, BNY Mellon

SPEAKERS



Mohammed Gharbawi

Fintech Hub, Bank of England



Dr Sonali Mohapatra

Quantum Innovation Sector Lead, National Quantum Computing Centre (NQCC)



Philippa Spencer-Williams

Senior Innovation Partner, Digital Catapult

Day 1 - Tuesday, 17 October 2023

14:30 / **Panel discussion**
The future of Asset Servicing

Stream B

OVERVIEW

- / How does the current regulatory and legislative framework (e.g. SRDII, withholding tax regimes) need to change to support more efficient asset servicing processes?
- / How is new technology being leveraged to make corporate actions more efficient? Including APIs, new messaging formats and digital platforms.
- / Is DLT and smart contracts the ultimate solution?

MODERATOR



Marcello Topa

Market Policy and Strategy Director, Citi Securities Services

SPEAKERS



Teresa Afonso

Product Manager – Asset Servicing global product and Regulatory Solutions, BNP Paribas



Michael Collier

Executive Director, International Head of Asset Services, Product Management, Securities Services, J.P. Morgan



Michael McPolin

Managing Director, Market Advocacy & Business Change, Broadridge



Krasimira Rayanova

Director, Head of Asset Servicing Product Development, Clearstream

15:15 / **Networking Coffee Break**

Day 1 - Tuesday, 17 October 2023

15:45 / **SPOTLIGHT session**
Real world use cases of how technology has been deployed in capital markets organisations

SPEAKER



Chris Stevens

Chief Revenue Officer, Duco

15:55 / **Keynote Address**
Building a Safer and More Efficient Post Trade Ecosystem

SPEAKER



Jennifer Robertson

Head of Unit, European Commission

Day 1 - Tuesday, 17 October 2023

16:25 / **Panel discussion**
T+1 and the path to Settlement Efficiency

OVERVIEW

- / How can European markets build towards T+1 settlement whilst also reducing settlement fails?
- / What role does data play in achieving this?

MODERATOR



Alan Cameron

Head of Advisory FIC Client Line, BNP Paribas

SPEAKERS



Jesús Benito

Head Domestic Custody & TR Operations, SIX



Matt Johnson

ITP Product Management & Industry Relations, DTCC



Lisa Danino Lewis

Chief Growth Officer, CLS Group



Sachin Mohindra

Executive Director, Goldman Sachs

17:25 / **Closing remarks & drinks reception**

Drinks reception sponsored by Delta Capita

Day 2 - Wednesday, 18 October 2023

08:15 / Registration & refreshments

08:15 / Registration & refreshments

09:00 / Opening remarks

SPEAKER



Rick Watson

Managing Director, Head of Capital Markets Membership and Events, AFME

09:15 / Keynote address
The Changing Financial System

SPEAKER



Cecilia Skingsley

Head of the BIS Innovation Hub, Bank for International Settlements

09:45 / The Evolving European Regulatory Landscape for Digital Assets

Panel discussion

OVERVIEW

- / Panellists reflect on the latest developments in MiCA, as the EU looks to position itself as a global leader in regulation of digital assets
- / With the DLT Pilot Regime now in operation, what has the industry uptake been, and what lessons have been learned?
- / How does the proposed UK FMI Sandbox stack up in comparison?

MODERATOR



Christopher Wallace

Associate General Counsel and Director, Bank of America

SPEAKERS

Teunis Brosens

Head of Regulatory Analysis, ING



Ivan Keller

Securities Markets Unit, DG FISMA



Sasha Mills

Executive Director of Financial Market Infrastructure, Bank of England



Marina Reason

Partner, Herbert Smith Freehills

10:30 / **SPOTLIGHT session** FX Everywhere

OVERVIEW

- / Real world use cases of how technology has been deployed in capital markets organisations

SPEAKER



Mark Williamson

MD, Global Head of FX & Commodities Partnerships & Propositions, HSBC

10:40 / Networking Coffee Break

11:10 / **Panel discussion**
Institutional Adoption of Crypto Assets

Stream A

OVERVIEW

- / Have recent market events slowed down institutional interest in crypto?
- / Will stricter and clearer regulations facilitate greater adoption?
- / What types of cryptoasset have the most potential for wider adoption?

MODERATOR

Coco Chen

Associate Director, Technology & Operations, AFME

SPEAKERS



Stéphane Malrait

MD, Head of Market Structure & Innovation, ING Bank

Manuel Nordeste

Vice-president, Fidelity Digital Assets



Duncan Trenholme

Managing Director, Global Co-Head of Digital Assets, TP ICAP



Juan Jiménez Zaballos

Digital Public Policy Director, Santander

11:10 / **Panel discussion**
Custody of Digital Assets – Legal and Operational Considerations

Stream B

OVERVIEW

- / Providing custody services for digital assets creates a whole new set of challenges – from technical challenges for the storage of private keys, to legal and regulatory considerations.
- / Will this space come to be dominated by traditional players or new entrants, or a combination of both?

MODERATOR



Britta Woernle

Director, Head of Market Advocacy Europe Securities Services, Deutsche Bank

SPEAKERS



James Cunningham

Senior Advisor, Public Policy and Government Affairs, BNY Mellon



Alex Dockx

Executive Director, Industry Development, JP Morgan



Nadine Teychenne

Director, Head of Digital Assets, Securities Services Citi



Martin Watkins

Chief Executive, Montis Group

11:55 / **Panel discussion**
Demystifying DeFi

Stream A

OVERVIEW

- / Does DeFi have a practical application for capital markets, and what is the appropriate regulatory framework?
- / Should aspects of DeFi fall under financial regulation?
- / What are the use cases for NFTs and DAOs in capital markets?

MODERATOR



Keith Bear

Fellow, Centre for Alternative Finance, University of Cambridge

SPEAKERS

Annabelle Bernal

General Counsel, Société Générale - FORGE

Anna Matson

Senior Vice President, Digital Assets & Financial Markets, Northern Trust



Mark Williamson

MD, Global Head of FX & Commodities Partnerships & Propositions, HSBC

11:55 / **Panel discussion**
Wholesale CBDCs – An essential building block for digitalisation?

Stream B

OVERVIEW

- / There is accelerating momentum behind development of CBDC – is it the solution to faster, cheaper settlement?
- / What other options are there?
- / What progress has been made in various experiments to date?

MODERATOR



Coen ter Wal

Director Technology and Operations, AFME

SPEAKERS

David Durouchoux

Dep. CEO – Business development & client relationship, Societe Generale - FORGE



Dr. Jonas Gross

Chairman, Digital Euro Association (DEA)



Holger Neuhaus

Head of Market Innovation and Integration, European Central Bank



Nicole Sandler

Head of Digital Policy, Barclays

12:40 / **Networking Lunch in the Exhibition Zone**

12:40 / **Lunch & Learn**
T+1 (Title TBC)

Sponsored by SWIFT

Day 2 - Wednesday, 18 October 2023

13:40 / Keynote Address

SPEAKER

Tom Duff Gordon

Vice President International Policy, Coinbase

14:10 / **SPOTLIGHT** session
TP ICAP

OVERVIEW

/ Real world use cases of how technology has been deployed in capital markets organisations

SPEAKER



Duncan Trenholme

Managing Director, Global Co-Head of Digital Assets, TP ICAP

14:25 / **Panel discussion**
Tokenisation of Securities Markets

OVERVIEW

- / What does a tokenised securities market ecosystem look like? Will we see new platforms deploy public or private blockchains?
- / What benefits does this bring?
- / What new risks may be created and how can the industry mitigate these?

MODERATOR



Kelesi Blundell

Partner, Herbert Smith Freehills

SPEAKERS



Thilo Derenbach

Director, Head of Business Development & Commercialisation Digital Securities Services, Clearstream



Thomas Dugauquier

Head of Product for Tokenised assets, Swift

Chris Jones

Digital Asset Technology and (acting) Global CIO for Securities Services, HSBC



Mathew McDermott

Managing Director, Goldman Sachs

15:25 / **Closing Remarks**

MODERATORS



Peter Tomlinson

Director, Post Trade and Prime Services, AFME



Coen ter Wal

Director Technology and Operations, AFME

Day 2 - Wednesday, 18 October 2023

15:30 / Closing networking reception

OVERVIEW

/ Join us for a couple of drinks to close the conference!