CONFERENCE

Operations, Post-Trade, Technology & Innovation Conference 2022 (OPTIC)

27 September 2022 / De Vere Grand Connaught Rooms

Day 1 - Tuesday, 27 September 2022

08:00 / Welcome registration and refreshments

09:00 - 09:15

Opening Remarks

09:15 - 09:45

In Conversation with Christopher Woolard CBE, Partner, Ernst & Young

Moderator



Thalia Chryssikou
Chair of the Board of Directors, AFME and Head of Global Sales Strats and Structuring, Goldman Sachs

Speaker



Christopher Woolard CBE
Partner, EY

Day 1 - Tuesday, 27 September 2022

PANEL DISCUSSION

09:45 - 10:30

Capital Markets Innovation: the Current State of Play

OVERVIEW

- What are the current opportunities for technology innovation?
- What are banks' key priorities in 2022?
- Are we entering a new regulatory cycle, with increased focus on fostering innovation?

Moderator



Philip Saunders
Managing Director, Goldman Sachs

Speakers



Dan Watkins
Head of Global Market Infrastructure EMEA, BNY Mellon



Sam Riley
CEO, Clearstream Holding AG



Valeria Zafar
Director of Sales, EMEA, Digital Asset



Vikesh Patel
Head of Capital Markets Strategy, SWIFT

10:30 / Networking Coffee Break

Day 1 - Tuesday, 27 September 2022

PANEL DISCUSSION

11:00 - 11:45

Secure Cloud Transformation: Managing the Journey

Stream A - Technology and Innovation

OVERVIEW

- What do you believe are the common challenges in Cloud adoption in Europe?
- How can Cloud concentration risk be mitigated?
- Is multi-cloud an appropriate strategy to enhance a firm's resilience?
- How can international regulatory collaboration and existing global mechanisms help overcome common challenges?

Moderator



Tola Gbadebo
Interim Associate Director, CCA and TO, AFME

Speakers



James Fox
Director, Enterprise Cloud Services, Protiviti



Jorge Álvarez Fernández
Global Head of Public Cloud Architecture, Banco Santander



Lizzie Gilbert
Manager, Bank of England



Nathan Attrell
Industry Principal, Financial Services, Snowflake



Witte Wijsmuller
Policy Officer, European Commission

Day 1 - Tuesday, 27 September 2022

PANEL DISCUSSION

11:00 - 11:45

European Post Trade Regulation in 2022: Where Next?

Stream B - Post Trade

OVERVIEW

- What is next for CSDR settlement discipline? Do the CSDR Refit proposals tackle other key issues?
- What are the priority Post Trade issues for delivering Capital Markets Union?
- How do we ensure that regulation is a facilitator of innovation and not a blocker?

Moderator



Marcello Topa
Director, EMEA Market Policy and Strategy, Citi, Securities Services

Speakers



Emiliano Tornese
Deputy Head of Financial Markets Infrastructure Unit, European Commission



Jesús Benito
Head Domestic Custody and TR Operations, SIX



Susan Yavari
Senior Policy Advisor, Capital Markets, EFAMA

Day 1 - Tuesday, 27 September 2022

PANEL DISCUSSION

11:45 - 12:30

Data Usage and Sharing in Financial Services

Stream A - Technology and Innovation

OVERVIEW

- What is the critical role of data in capital markets innovation?
- How can we analyse and improve data transfer performance?
- Will the development of an open finance framework complement the EU data act?

Moderator



Elise Soucie
Associate Director,
Technology and Operations,
AFME

Speakers



Adriana Ennab
Director of Public Policy,
Credit Suisse



Alex Ivančo
Director, Financial Markets III Department, Ministry of Finance of the Czech Republic



Borja de Pedro
Manager Public Policy, Digital Strategy and Competition,
Santander

Day 1 - Tuesday, 27 September 2022

PANEL DISCUSSION

11:45 - 12:30

Solving the Settlement Efficiency Challenge

Stream B - Post Trade

OVERVIEW

- How far away are we from success?
- What role can technology play in improving market settlement efficiency?
- Can the problem be tackled by industry alone or is regulatory intervention required?

Moderator



Peter Tomlinson
Director, Post Trade and Prime Services, AFME

Speakers



Alex Dockx
Executive Director, JP Morgan



Alina Laura Dragomir
Senior Policy Officer, ESMA



Daniel Carpenter
Chief Executive Officer, Meritsoft (a Cognizant company)



Matt Johnson
Director, Digital Platform Management and Industry Relations, DTCC

12:30 / A Spotlight Luncheon***In Conversation with the Women of OPTIC***

12:30 / ***Networking Lunch***

Day 1 - Tuesday, 27 September 2022

PANEL DISCUSSION

13:30 - 14:15

Implications of Digital Operational Resilience

Stream A - Technology and Innovation

OVERVIEW

- How is the market managing the increasing role of digital providers within financial services?
- How will DORA look to enhance digital resilience through the supervision of critical third parties?
- Are there more requirements to enhance operational resilience within financial services?

Moderator



Marcus Corry
Associate Director,
Technology and Operations,
AFME

Speakers



Orlando Fernandez Ruiz
Senior Technical Specialist,
Prudential Policy Directorate,
Bank of England



Tomas Jakimavicius
Director of European
Government Affairs, Microsoft



Valérie Höß
Head of Digital and AML
Policy, Deutsche Bank AG

Day 1 - Tuesday, 27 September 2022

PANEL DISCUSSION

13:30 - 14:15

Using Technology to Connect Issuers and Investors

Stream B - Post Trade

OVERVIEW

- Where is further action need to deliver on CMU Action 12?
- Do we need a third Shareholders Rights Directive (or regulation)?
- What can the industry tackle without regulatory/legislative change?
- What impact will new technology have on corporate actions processing?

Moderator



James Cunningham
Senior Advisor, Public Policy and Government Affairs, BNY Mellon

Speakers



Christiane Hölz
Managing Director, DSW



Mariangela Fumagalli
Head of Global Asset Servicing Product and Custody regulatory solutions, BNP Paribas



Pierre Marsal
Committee Member, EuropeanIssuers

Day 1 - Tuesday, 27 September 2022

PANEL DISCUSSION

14:15 - 15:00

Best Practice for Delivering Change and Technology Operations

Stream A - Technology and Innovation

Moderator



Ian Waterworth
Director, Technology and Operations, AFME

Speakers



Andrew Pearson
Head of Trade Processing Technology, Global Markets, Nomura



Nick Hobson
Head of FX, Commodities, EM Rates and Treasure Services, HSBC



Sezgin Yalcin
Head of Professional Service and Delivery, Murex Americas

PANEL DISCUSSION

14:15 - 15:00

The Securities Services Business: Opportunities and Threats

Stream B - Post Trade

OVERVIEW

- What are the key external factors are driving change?
- How are business models adapting?
- Should technology reduce costs or improve services?

Moderator



Alan Cameron
Head of Advisory FIC Client Line, BNP Paribas

Speakers



Howard Rapley
Head of Product Management, Securities Services, Northern Trust



Ivan Nicora
Head of Investor Services, Managing Director, Euroclear



María Elena Mesonero
Head of Regional Coverage, Spain and LatAm, CACEIS Bank

Day 1 - Tuesday, 27 September 2022

15:00 / Networking Coffee Break

KEYNOTE ADDRESS

15:30 - 16:00

TBC

Speaker



Karel Lannoo
CEO, Centre for European Policy Studies (CEPS)

PANEL DISCUSSION

16:00 - 16:45

The ESG agenda and implications for technology adoption and post-trade processes

OVERVIEW

- What role do Capital Markets play in the transition towards a green economy?
- How important is technology to delivering accurate and timely ESG-related data?

Moderator



Lynn Strongin Dodds
Journalist, Best Execution

Speakers



A.J. Harper
Head of Sustainable Finance, ETFs and Asset Managers, Euroclear



Bill Stenning
Head of Public Affairs, UK , Société Générale



Corinne Neale
Managing Director, Global Head of ESG Applications, BNY Mellon Data and Platform Solutions, BNY Mellon

16:45 - 17:00

Closing Remarks

Day 1 - Tuesday, 27 September 2022

17:00 / Networking Drinks Reception sponsored by Snowflake

Day 2 - Wednesday, 28 September 2022

08:15 / Registration

09:00 - 09:15

Opening Remarks

09:15 - 09:45

Keynote Address

Moderator



James Kemp
Managing Director, Global FX, Technology and Operations and Policy Divisions, GFMA and AFME

Speaker



Sir Jon Cunliffe
Deputy Governor for Financial Stability, Bank of England

Day 2 - Wednesday, 28 September 2022

PANEL DISCUSSION

09:45 - 10:45

Digital Assets: The Biggest Opportunity of the Decade?

OVERVIEW

- How far away are we from widespread institutional adoption of digital assets?
- What are the current regulatory barriers to adoption

Moderator



Nick Philpott
Chief Operating Officer, Zodia Markets

Speakers



Angus Fletcher
CEO, Fnality UK



Etay Katz
Partner, Ashurst



Montserrat Farina
Executive Director, Regulatory Affairs Corporate and Investment Bank, JPMorgan



Pierre Pourquery
Partner, EY



Solene Khy
Head of Product Management, Commodity, Equity, FX and Cryptocurrency derivatives, Murex

10:45 / Networking Coffee Break

Day 2 - Wednesday, 28 September 2022

PANEL DISCUSSION

11:15 - 12:00

CBDCs and Stablecoins

OVERVIEW

- Wholesale vs Retail vs Multi CBDCs
- The future of Stablecoins
- Challenges to global adoption

Moderator



Lee Braine
Managing Director, Advanced Technologies, Barclays

Speakers



Jannah Patchay
Policy Lead and Originating Member, Digital Pound Foundation



Jürgen Schaaf
Advisor to the Senior Management of Market Infrastructure and Payments, European Central Bank (ECB)



Keith Bear
Fellow, Centre for Alternative Finance, University of Cambridge

Day 2 - Wednesday, 28 September 2022

PANEL DISCUSSION

12:00 - 12:45

Legal and Regulatory Considerations for Digital Assets

OVERVIEW

- How are emerging digital assets challenging existing legal and regulatory frameworks?
- How do jurisdictional approaches differ in addressing these challenges?
- What will be the impact on market participants?

Moderator



Suzanne Hubble
Chief Operating Officer, Komainu

Speakers



Diego Ballon Ossio
Senior Associate, Clifford Chance



John Siena
Associate General Counsel, Co-Head Regulatory Strategy, Brown Brothers Harriman (BBH) and Chair, European Focus Committee, Association of Global Custodians (AGC)



John Ho Hee Jung
Global Head, Financial Markets, Legal, Standard Chartered Bank



Nicole Sandler
Head of Digital Policy, Barclays

KEYNOTE ADDRESS

12:45 - 13:15

Digital Assets

Speaker



Alex Ivančo
Director, Financial Markets III Department, Ministry of Finance of the Czech Republic

13:15 / Networking Lunch

PANEL DISCUSSION

14:15 - 15:00

Digital Assets: Opportunities and Challenges for EU Capital Markets

Stream A - Technology and Innovation

OVERVIEW

- What are the top distributed ledger technology (DLT) priorities for Europe's capital markets?
- How can the industry and regulators work together to use digital assets safely?
- What are the relevant proposed EU regulations to watch in the digital assets space?

Moderator



Elise Soucie
Associate Director,
Technology and Operations,
AFME

Speakers



Alexander Tollast
Counsel, Clifford Chance



Elisabeth Noble
Senior Policy Expert and
MiCA Project Team Leader,
European Banking Authority
(EBA)



Mark Williamson
MD, Global Head of FX
Partnerships and
Propositions, HSBC



Sabih Behzad
Global Head of Digital Assets
and Currencies
Transformation, Deutsche
Bank AG

Day 2 - Wednesday, 28 September 2022

PANEL DISCUSSION

14:15 - 15:00

T+1 Settlement

Stream B - Post Trade

OVERVIEW

- Should Europe follow the US in adopting T+1?
- What are the key opportunities and challenges?
- Is this an inevitable step towards T+0?

Moderator



Sonia Paston-Bedingfeld
Executive Director – Post Trade & Custody Strategy, Network Management, UBS

Speakers



Andrew Douglas
Managing Director, Government Relations for EMEA and Asia, DTCC



Pardeep Cassells
Head of Securities and Claims, AccessFintech



Sachin Mohindra
Executive Director, Market Solutions, Goldman Sachs

PANEL DISCUSSION

15:00 - 15:45

The Regulation and Governance of Artificial Intelligence

Stream A - Technology and Innovation

OVERVIEW

- How will the EU regulatory proposals fit within the global context of AI?
- How to operationalise ethics in data and AI?
- What are the opportunities for financial institutions?

Moderator

Tola Gbadebo
Interim Associate Director, CCA and TO, AFME

Speakers



Andrei Ilchenko
Global Head of IT Financial Markets and Group Treasury, ING Group



Jesús Lozano
Senior Manager Digital Regulation, BBVA



Kai Zenner
Head of Office, Digital Policy Adviser (MEP Axel Voss), European Parliament



Sarah Gadd
Managing Director, Credit Suisse AG

PANEL DISCUSSION

15:00 - 15:45

The Future of Central Clearing

Stream B - Post Trade

OVERVIEW

- What impact will new technology, such as DLT, have on current clearing models?
- Interoperability for cash equities: Is 3 the magic number?
- Is there any sign of clearing balances shifting towards the EU?
- How can the EU improve the attractiveness of its clearing markets?

Moderator



Pablo Portugal
Managing Director, Advocacy, AFME

Speakers



Haroun Boucheta
Head of Public Affairs, BNP Paribas Securities Services



Ivan Gilmore
Head of Cash Equities, LCH Ltd and LCH SA



Laura Bayley
Head Clearing Services, SIX



María José Gómez Yubero
Head of Resolution, Benchmarks and Financial Stability, Spanish National Securities Market Commission (CNMV)
Co-chair, FSB Cross-border Crisis Management Group for FMIs

15:45 / Networking Coffee Break

Day 2 - Wednesday, 28 September 2022

PRESENTATION

16:10 - 16:30

The Workplace of the Future

Speaker



Nick Jankel
Co-founder, Switch On and
Co-founder, FutureMakers

PANEL DISCUSSION

16:30 - 17:10

The Workplace of the Future

OVERVIEW

- How have firms managed the transition to hybrid working?
- Can Financial Services compete with Big Tech to attract the best new talent?
- How can we build diversity, equity and inclusion in the workplace?

Moderator



Janine Hirt
CEO, Innovate Finance

Speakers



Nick Jankel
Co-founder, Switch On and
Co-founder, FutureMakers



Peter Richardson
Managing Director, Business Process Improvement, Protiviti



Sarah Guerra
Head of Division: Culture, Diversity and Inclusion, Bank of England

17:10 - 17:15

Closing Remarks

Day 3 - Wednesday, 18 October 2023

08:15 / Registration & refreshments

09:00 - 09:15

Opening remarks

KEYNOTE ADDRESS

09:15 - 09:45

Digital Assets