#### CONFERENCE

# Operations, Post-Trade, Technology & Innovation Conference 2022 (OPTIC)

27 September 2022 / De Vere Grand Connaught Rooms

# Day 1 - Tuesday, 27 September 2022

08:00 / Welcome registration and refreshments

09:00 / Opening Remarks

09:15 / In Conversation with Christopher Woolard CBE, Partner, Ernst & Young

#### **MODERATOR**



Thalia Chryssikou

Chair of the Board of Directors, AFME and

#### **SPEAKER**



**Christopher Woolard** 

Partner, EY

09:45 /

Panel discussion

Capital Markets Innovation: the Current State of Play

#### **OVERVIEW**

- / What are the current opportunities for technology innovation?
- / What are banks' key priorities in 2022?
- / Are we entering a new regulatory cycle, with increased focus on fostering innovation?

#### **MODERATOR**



Philip Saunders

Managing Director, Goldman Sachs

#### **SPEAKER**



**Dan Watkins** 

Head of Global Market Infrastructure EMEA, BNY Mellon



Sam Riley

CEO, Clearstream Holding AG



Valeria Zafar

Director of Sales, EMEA, Digital Asset



Vikesh Patel

Head of Capital Markets Strategy, SWIFT

#### 10:30 / Networking Coffee Break

11:00 / Panel discussion

Secure Cloud Transformation: Managing the Journey

Stream A - Technology and Innovation

#### **OVERVIEW**

- / What do you believe are the common challenges in Cloud adoption in Europe?
- / How can Cloud concentration risk be mitigated?
- / Is multi-cloud an appropriate strategy to enhance a firm's resilience?
- / How can international regulatory collaboration and existing global mechanisms help overcome common challenges?

#### **MODERATOR**

#### Tola Gbadebo

Interim Associate Director, CCA and TO, AFME

#### **SPEAKER**



James Fox

Director, Enterprise Cloud Services, Protiviti



Jorge Álvarez Fernández

Global Head of Public Cloud Architecture, Banco Santander



Lizzie Gilbert

Manager, Bank of England



Nathan Attrell

Industry Principal, Financial Services, Snowflake



Witte Wijsmuller

Policy Officer, European Commission

11:00 / Panel discussion

European Post Trade Regulation in 2022: Where Next?

Stream B - Post Trade

#### **OVERVIEW**

- / What is next for CSDR settlement discipline? Do the CSDR Refit proposals tackle other key issues?
- / What are the priority Post Trade issues for delivering Capital Markets Union?
- / How do we ensure that regulation is a facilitator of innovation and not a blocker?

#### **MODERATOR**



Marcello Topa

Director, EMEA Market Policy and Strategy, Citi, Securities Services

#### **SPEAKER**



**Emiliano Tornese** 

Deputy Head of Financial Markets Infrastructure Unit, European Commission



Jesús Benito

Head Domestic Custody and TR Operations, SIX



Susan Yavari

Senior Policy Advisor, Capital Markets, EFAMA

# 11:45 / Panel discussion Data Usage and Sharing in Financial Services

Stream A - Technology and Innovation

#### **OVERVIEW**

- / What is the critical role of data in capital markets innovation?
- / How can we analyse and improve data transfer performance?
- / Will the development of an open finance framework complement the EU data act?

#### **MODERATOR**



Elise Soucie

Associate Director, Technology and Operations, AFME

#### **SPEAKER**



Adriana Ennab

Director of Public Policy, Credit Suisse



Alex Ivančo

Director, Financial Markets III Department, Ministry of Finance of the Czech Republic



Borja de Pedro

Manager Public Policy, Digital Strategy and Competition, Santander

# 11:45 / Panel discussion Solving the Settlement Efficiency Challenge

Stream B - Post Trade

#### **OVERVIEW**

- / How far away are we from success?
- / What role can technology play in improving market settlement efficiency?
- / Can the problem be tackled by industry alone or is regulatory intervention required?

#### **MODERATOR**



#### **Peter Tomlinson**

Director, Post Trade and Prime Services, AFME

#### **SPEAKER**



#### Alex Dockx

Executive Director, JP Morgan



#### Alina Laura Dragomir

Senior Policy Officer, ESMA



#### **Daniel Carpenter**

Chief Executive Officer, Meritsoft (a Cognizant company)



#### matt johnson

Director, Digital Platform Management and Industry Relations, DTCC

# 12:30 / A Spotlight Luncheon

#### In Conversation with the Women of OPTIC

Limited spaces - please RSVP to emma.bagge@afme.eu to secure your space.

#### **OVERVIEW**

- / An opportunity to discuss the key topics of the conference with women who are leading the way in Post Trade, Technology, & Operations in European Capital Markets.
- / This will be a chance to network and share views on key issues beyond the panel sessions with women who are the leading voices in financial services.

#### 12:30 / Networking Lunch

Panel discussion

Implications of Digital Operational Resilience

Stream A - Technology and Innovation

#### **OVERVIEW**

- / How is the market managing the increasing role of digital providers within financial services?
- / How will DORA look to enhance digital resilience through the supervision of critical third parties?
- / Are there more requirements to enhance operational resilience within financial services?

#### **MODERATOR**

#### **Marcus Corry**

Associate Director, Technology and Operations, AFME

#### **SPEAKER**



Orlando Fernandez Ruiz

Senior Technical Specialist, Prudential Policy Directorate, Bank of England



**Tomas Jakimavicius** 

Director of European Government Affairs, Microsoft



Valérie Höß

Head of Digital and AML Policy, Deutsche Bank AG

# 13:30 / Panel discussion Using Technology to Connect Issuers and Investors

Stream B - Post Trade

#### **OVERVIEW**

- / Where is further action need to deliver on CMU Action 12?
- / Do we need a third Shareholders Rights Directive (or regulation)?
- / What can the industry tackle without regulatory/legislative change?
- / What impact will new technology have on corporate actions processing?

#### **MODERATOR**



James Cunningham

Senior Advisor, Public Policy and Government Affairs, BNY Mellon

#### **SPEAKER**



Christiane Hölz

Managing Director, DSW



Mariangela Fumagalli

Head of Global Asset Servicing Product and Custody regulatory solutions, BNP Paribas



Pierre Marsal

Committee Member, EuropeanIssuers

14:15 / Panel discussion

# Best Practice for Delivering Change and Technology Operations

Stream A - Technology and Innovation

#### **MODERATOR**



Ian Waterworth

Director, Technology and Operations, AFME

#### **SPEAKER**



**Andrew Pearson** 

Head of Trade Processing Technology, Global Markets, Nomura



Nick Hobson

Head of FX, Commodities, EM Rates and Treasure Services, HSBC



Sezgin Yalcin

Head of Professional Service and Delivery, Murex Americas

Panel discussion

14:15 / The Securities Services Business: Opportunities and Threats

Stream B - Post Trade

#### **OVERVIEW**

- / What are the key external factors are driving change?
- / How are business models adapting?
- / Should technology reduce costs or improve services?

#### **MODERATOR**



Alan Cameron

Head of Advisory FIC Client Line, BNP Paribas

#### **SPEAKER**



Elena María Mesonero

Head of Regional Coverage, Spain and LatAm, CACEIS Bank



**Howard Rapley** 

Head of Product Management, Securities Services, Northern Trust



Ivan Nicora

Head of Investor Services, Managing Director, Euroclear

#### 15:00 / Networking Coffee Break

15:30 / Keynote address TBC

#### **SPEAKER**



Karel Lannoo

CEO, Centre for European Policy Studies (CEPS)

16:00 / Panel discussion

The ESG agenda and implications for technology adoption and post-trade processes

#### **OVERVIEW**

- / What role do Capital Markets play in the transition towards a green economy?
- / How important is technology to delivering accurate and timely ESG-related data?

#### **MODERATOR**



Lynn Strongin Dodds

Journalist, Best Execution

#### **SPEAKER**



A.J. Harper

Head of Sustainable Finance, ETFs and Asset Managers, Euroclear



**Bill Stenning** 

Head of Public Affairs, UK, Société Générale



Corinne Neale

Managing Director, Global Head of ESG Applications, BNY Mellon Data and Platform Solutions, BNY Mellon

#### 16:45 / Closing Remarks

17:00 / Networking Drinks Reception sponsored by Snowflake

08:15 / Registration

09:00 / Opening Remarks

#### 09:15 / Keynote Address

#### **MODERATOR**

#### James Kemp

Managing Director, Global FX, Technology and Operations and Policy Divisions, GFMA and AFME

#### **SPEAKER**



Sir Jon Cunliffe

Deputy Governor for Financial Stability, Bank of England

09:45 / Panel discussion

Digital Assets: The Biggest Opportunity of the Decade?

#### **OVERVIEW**

- / How far away are we from widespread institutional adoption of digital assets?
- / What are the current regulatory barriers to adoption

#### **MODERATOR**



**Nick Philpott** 

Chief Operating Officer, Zodia Markets

#### **SPEAKER**



**Angus Fletcher** 

CEO, Fnality UK



**Etay Katz** 

Partner, Ashurst



#### Montserrat Farina

Executive Director, Regulatory Affairs Corporate and Investment Bank, JPMorgan



#### **Pierre Pourquery**

Partner, EY



#### Solene Khy

Head of Product Management, Commodity, Equity, FX and Cryptocurrency derivatives, Murex

#### 10:45 / Networking Coffee Break

# 11:15 / Panel discussion CBDCs and Stablecoins

#### **OVERVIEW**

- / Wholesale vs Retail vs Multi CBDCs
- / The future of Stablecoins
- / Challenges to global adoption

#### **MODERATOR**



Lee Braine

Managing Director, Advanced Technologies, Barclays

#### **SPEAKER**



Jannah Patchay

Policy Lead and Originating Member, Digital Pound Foundation



Jürgen Schaaf

Advisor to the Senior Management of Market Infrastructure and Payments, European Central Bank (ECB)



Keith Bear

Fellow, Centre for Alternative Finance, University of Cambridge

12:00 /

Panel discussion

Legal and Regulatory Considerations for Digital Assets

#### **OVERVIEW**

- / How are emerging digital assets challenging existing legal and regulatory frameworks?
- / How do jurisdictional approaches differ in addressing these challenges?
- / What will be the impact on market participants?

#### **MODERATOR**



Suzanne Hubble

Chief Operating Officer, Komainu

#### **SPEAKER**



Diego Ballon Ossio

Senior Associate, Clifford Chance



John Siena

Associate General Counsel, Co-Head Regulatory Strategy, Brown Brothers Harriman (BBH) and



John Ho Hee Jung

Global Head, Financial Markets, Legal, Standard Chartered Bank



Nicole Sandler

Head of Digital Policy, Barclays

12:45 /

Keynote Address
Digital Assets

#### **SPEAKER**



Alex Ivančo

Director, Financial Markets III Department, Ministry of Finance of the Czech Republic

#### 13:15 / Networking Lunch

14:15 / Panel discussion

Digital Assets: Opportunities and Challenges for EU Capital Markets

Stream A - Technology and Innovation

#### **OVERVIEW**

- / What are the top distributed ledger technology (DLT) priorities for Europe's capital markets?
- / How can the industry and regulators work together to use digital assets safely?
- / What are the relevant proposed EU regulations to watch in the digital assets space?

#### **MODERATOR**



Elise Soucie

Associate Director, Technology and Operations, AFME

#### **SPEAKER**



**Alexander Tollast** 

Counsel, Clifford Chance



Elisabeth Noble

Senior Policy Expert and MiCA Project Team Leader, European Banking Authority (EBA)



Mark Williamson

MD, Global Head of FX Partnerships and Propositions, HSBC



Sabih Behzad

Global Head of Digital Assets and Currencies Transformation, Deutsche Bank AG

14:15 / Panel discussion T+1 Settlement

Stream B - Post Trade

#### **OVERVIEW**

- / Should Europe follow the US in adopting T+1?
- / What are the key opportunities and challenges?
- / Is this an inevitable step towards T+0?

#### **MODERATOR**



Sonia Paston-Bedingfeld

Executive Director - Post Trade & Custody Strategy, Network Management, UBS

#### **SPEAKER**



**Andrew Douglas** 

Managing Director, Government Relations for EMEA and Asia, DTCC



Pardeep Cassells

Head of Securities and Claims, AccessFintech



Sachin Mohindra

Executive Director, Market Solutions, Goldman Sachs

# 15:00 / Panel discussion

### The Regulation and Governance of Artificial Intelligence

Stream A - Technology and Innovation

#### **OVERVIEW**

- / How will the EU regulatory proposals fit within the global context of AI?
- / How to operationalise ethics in data and AI?
- / What are the opportunities for financial institutions?

#### **MODERATOR**

#### Tola Gbadebo

Interim Associate Director, CCA and TO, AFME

#### **SPEAKER**



#### Andrei Ilchenko

Global Head of IT Financial Markets and Group Treasury, ING Group



#### Jesús Lozano Belio

Senior Manager Digital Regulation, BBVA



#### Kai Zenner

Head of Office, Digital Policy Adviser (MEP Axel Voss), European Parliament



#### Sarah Gadd

Managing Director, Credit Suisse AG

# 15:00 / Panel discussion The Future of Central Clearing

Stream B - Post Trade

#### **OVERVIEW**

- / What impact will new technology, such as DLT, have on current clearing models?
- / Interoperability for cash equities: Is 3 the magic number?
- / Is there any sign of clearing balances shifting towards the EU?
- / How can the EU improve the attractiveness of its clearing markets?

#### **MODERATOR**



#### Pablo Portugal

Managing Director, Advocacy, AFME

#### **SPEAKER**



#### Haroun Boucheta

Head of Public Affairs, BNP Paribas Securities Services



#### Ivan Gilmore

Head of Cash Equities, LCH Ltd and LCH SA



#### Laura Bayley

Head Clearing Services, SIX



#### María José Gómez Yubero

Head of Resolution, Benchmarks and Financial Stability, Spanish National Securities Market Commission (CNMV)

#### 15:45 / Networking Coffee Break

16:10 / Presentation
The Workplace of the Future

#### **SPEAKER**



Nick Jankel

Co-founder, Switch On and Co-founder, FutureMakers

16:30 / Panel discussion
The Workplace of the Future

#### **OVERVIEW**

- / How have firms managed the transition to hybrid working?
- / Can Financial Services compete with Big Tech to attract the best new talent?
- / How can we build diversity, equity and inclusion in the workplace?

#### **MODERATOR**



Janine Hirt

CEO, Innovate Finance

#### **SPEAKER**



Nick Jankel

Co-founder, Switch On and Co-founder, FutureMakers



Peter Richardson

Managing Director, Business Process Improvement, Protiviti



Sarah Guerra

Head of Division: Culture, Diversity and Inclusion, Bank of England

#### 17:10 / Closing Remarks

# Day 3 - Wednesday, 18 October 2023

08:15 / Registration & refreshments
09:00 / Opening remarks
09:15 / Keynote address Digital Assets