

# *Operations, Post-Trade, Technology & Innovation Conference 2022 (OPTIC)*

27 September 2022

## *Day 1 - Tuesday, 27 September 2022*

08:00 / Welcome registration and refreshments

09:00 / Opening Remarks

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09:15 / In Conversation with Christopher Woolard CBE, Partner, Ernst & Young

### MODERATOR



Thalia Chryssikou

Chair of the Board of Directors, AFME and

### SPEAKER



Christopher Woolard

Partner, EY

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09:45 / [Panel discussion](#)  
Capital Markets Innovation: the Current State of Play

### OVERVIEW

- / What are the current opportunities for technology innovation?
- / What are banks' key priorities in 2022?

/ Are we entering a new regulatory cycle, with increased focus on fostering innovation?

## MODERATOR



Philip Saunders

Managing Director, Goldman Sachs

## SPEAKER



Dan Watkins

Head of Global Market Infrastructure EMEA, BNY Mellon



Sam Riley

CEO, Clearstream Holding AG



Valeria Zafar

Director of Sales, EMEA, Digital Asset



Vikesh Patel

Head of Capital Markets Strategy, SWIFT

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10:30 / Networking Coffee Break

11:00 / [Panel discussion](#)  
Secure Cloud Transformation: Managing the Journey

Stream A - Technology and Innovation

## OVERVIEW

- / What do you believe are the common challenges in Cloud adoption in Europe?
- / How can Cloud concentration risk be mitigated?
- / Is multi-cloud an appropriate strategy to enhance a firm's resilience?
- / How can international regulatory collaboration and existing global mechanisms help overcome common challenges?

## MODERATOR

Tola Gbadebo

Interim Associate Director, CCA and TO, AFME

## SPEAKER



James Fox

Director, Enterprise Cloud Services, Protiviti



Jorge Álvarez Fernández

Global Head of Public Cloud Architecture, Banco Santander



Lizzie Gilbert

Manager, Bank of England



Nathan Attrell

Industry Principal, Financial Services, Snowflake



Witte Wijsmuller

Policy Officer, European Commission

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[Panel discussion](#)

11:00 / European Post Trade Regulation in 2022: Where Next?

Stream B - Post Trade

## OVERVIEW

- / What is next for CSDR settlement discipline? Do the CSDR Refit proposals tackle other key issues?
- / What are the priority Post Trade issues for delivering Capital Markets Union?
- / How do we ensure that regulation is a facilitator of innovation and not a blocker?

## MODERATOR



Marcello Topa

Director, EMEA Market Policy and Strategy, Citi, Securities Services

## SPEAKER



Emiliano Tornese

Deputy Head of Financial Markets Infrastructure Unit, European Commission



Jesús Benito

Head Domestic Custody and TR Operations, SIX



Susan Yavari

Senior Policy Advisor, Capital Markets, EFAMA

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11:45 / [Panel discussion](#)  
Data Usage and Sharing in Financial Services

Stream A - Technology and Innovation

## OVERVIEW

- / What is the critical role of data in capital markets innovation?
- / How can we analyse and improve data transfer performance?
- / Will the development of an open finance framework complement the EU data act?

## MODERATOR



Elise Soucie

Associate Director, Technology and Operations, AFME

## SPEAKER



Adriana Ennab

Director of Public Policy, Credit Suisse



Alex Ivančo

Director, Financial Markets III Department, Ministry of Finance of the Czech Republic



Borja de Pedro

Manager Public Policy, Digital Strategy and Competition, Santander

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11:45 / [Panel discussion](#)  
Solving the Settlement Efficiency Challenge

Stream B - Post Trade

## OVERVIEW

- / How far away are we from success?
- / What role can technology play in improving market settlement efficiency?
- / Can the problem be tackled by industry alone or is regulatory intervention required?

## MODERATOR



Peter Tomlinson

Director, Post Trade and Prime Services, AFME

## SPEAKER



Alex Dockx

Executive Director, JP Morgan



Alina Laura Dragomir

Senior Policy Officer, ESMA



Daniel Carpenter

Chief Executive Officer, Meritsoft (a Cognizant company)



matt johnson

Director, Digital Platform Management and Industry Relations, DTCC

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### 12:30 / [A Spotlight Luncheon](#) In Conversation with the Women of OPTIC

Limited spaces - please RSVP to [emma.bagge@afme.eu](mailto:emma.bagge@afme.eu) to secure your space.

#### OVERVIEW

- / An opportunity to discuss the key topics of the conference with women who are leading the way in Post Trade, Technology, & Operations in European Capital Markets.
- /
- / This will be a chance to network and share views on key issues beyond the panel sessions with women who are the leading voices in financial services.

### 12:30 / Networking Lunch

### 13:30 / [Panel discussion](#) Implications of Digital Operational Resilience

Stream A - Technology and Innovation

#### OVERVIEW

- / How is the market managing the increasing role of digital providers within financial services?
- / How will DORA look to enhance digital resilience through the supervision of critical third parties?
- / Are there more requirements to enhance operational resilience within financial services?

## MODERATOR

Marcus Corry

Associate Director, Technology and Operations, AFME

## SPEAKER



Orlando Fernandez Ruiz

Senior Technical Specialist, Prudential Policy Directorate, Bank of England



Tomas Jakimavicius

Director of European Government Affairs, Microsoft



Valérie Höß

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13:30 / [Panel discussion](#)  
Using Technology to Connect Issuers and Investors

Stream B - Post Trade

## OVERVIEW

- / Where is further action need to deliver on CMU Action 12?
- / Do we need a third Shareholders Rights Directive (or regulation)?
- / What can the industry tackle without regulatory/legislative change?
- / What impact will new technology have on corporate actions processing?

## MODERATOR



James Cunningham

Senior Advisor, Public Policy and Government Affairs, BNY Mellon

## SPEAKER



Christiane Hölz

Managing Director, DSW



Mariangela Fumagalli

Head of Global Asset Servicing Product and Custody regulatory solutions, BNP Paribas



Pierre Marsal

Committee Member, European Issuers

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14:15 / [Panel discussion](#)  
Best Practice for Delivering Change and Technology Operations

## Stream A - Technology and Innovation

### MODERATOR



Ian Waterworth

Director, Technology and Operations, AFME

### SPEAKER



Andrew Pearson

Head of Trade Processing Technology, Global Markets, Nomura



Nick Hobson

Head of FX, Commodities, EM Rates and Treasure Services, HSBC



Sezgin Yalcin

Head of Professional Service and Delivery, Murex Americas

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14:15 / [Panel discussion](#)  
The Securities Services Business: Opportunities and Threats

## Stream B - Post Trade

### OVERVIEW

- / What are the key external factors are driving change?
- / How are business models adapting?
- / Should technology reduce costs or improve services?

### MODERATOR



Alan Cameron

Head of Advisory FIC Client Line, BNP Paribas

## SPEAKER



Elena María Mesonero

15:00 / Networking Coffee Break

15:30 / [Keynote address](#)  
TBC

## SPEAKER



Karel Lannoo

CEO, Centre for European Policy Studies (CEPS)

16:00 / [Panel discussion](#)  
The ESG agenda and implications for technology adoption and post-trade processes

## OVERVIEW

- / What role do Capital Markets play in the transition towards a green economy?
- / How important is technology to delivering accurate and timely ESG-related data?

## MODERATOR



Lynn Strongin Dodds

Journalist, Best Execution

## SPEAKER



A.J. Harper

Head of Sustainable Finance, ETFs and Asset Managers, Euroclear



Bill Stenning

Head of Public Affairs, UK , Société Générale



Corinne Neale

Managing Director, Global Head of ESG Applications, BNY Mellon Data and Platform Solutions, BNY Mellon



16:45 / Closing Remarks

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17:00 / Networking Drinks Reception sponsored by Snowflake

## ***Day 2 - Wednesday, 28 September 2022***

08:15 / Registration

09:00 / Opening Remarks

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09:15 / Keynote Address

### MODERATOR

James Kemp

Managing Director, Global FX, Technology and Operations and Policy Divisions, GFMA and AFME

### SPEAKER



Sir Jon Cunliffe

Deputy Governor for Financial Stability, Bank of England

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09:45 / [Panel discussion](#)  
Digital Assets: The Biggest Opportunity of the Decade?

### OVERVIEW

- / How far away are we from widespread institutional adoption of digital assets?
- / What are the current regulatory barriers to adoption

### MODERATOR



Nick Philpott

Chief Operating Officer, Zodia Markets

## SPEAKER



Angus Fletcher  
CEO, Finality UK



Etay Katz  
Partner, Ashurst



Montserrat Farina  
Executive Director, Regulatory Affairs Corporate and Investment Bank, JPMorgan



Pierre Pourquery  
Partner, EY



Solene Khy  
Head of Product Management, Commodity, Equity, FX and Cryptocurrency derivatives, Murex

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10:45 / Networking Coffee Break

11:15 / [Panel discussion](#)  
CBDCs and Stablecoins

## OVERVIEW

- / Wholesale vs Retail vs Multi CBDCs
- / The future of Stablecoins
- / Challenges to global adoption

## MODERATOR



Lee Braine  
Managing Director, Advanced Technologies, Barclays

## SPEAKER



Jannah Patchay

Policy Lead and Originating Member, Digital Pound Foundation

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12:00 / [Panel discussion](#)  
Legal and Regulatory Considerations for Digital Assets

## OVERVIEW

- / How are emerging digital assets challenging existing legal and regulatory frameworks?
- / How do jurisdictional approaches differ in addressing these challenges?
- / What will be the impact on market participants?

## MODERATOR



Suzanne Hubble

Chief Operating Officer, Komainu

## SPEAKER



Diego Ballon Ossio

Senior Associate, Clifford Chance



John Ho Hee Jung

Global Head, Financial Markets, Legal, Standard Chartered Bank



John Siena

Associate General Counsel, Co-Head Regulatory Strategy, Brown Brothers Harriman (BBH) and



Nicole Sandler

Head of Digital Policy, Barclays

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12:45 / [Keynote Address](#)  
Digital Assets

## SPEAKER



Alex Ivančo

Director, Financial Markets III Department, Ministry of Finance of the Czech Republic

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13:15 / Networking Lunch

14:15 / [Panel discussion](#)  
Digital Assets: Opportunities and Challenges for EU Capital Markets

Stream A - Technology and Innovation

## OVERVIEW

- / What are the top distributed ledger technology (DLT) priorities for Europe's capital markets?
- / How can the industry and regulators work together to use digital assets safely?
- / What are the relevant proposed EU regulations to watch in the digital assets space?

## MODERATOR



Elise Soucie

Associate Director, Technology and Operations, AFME

## SPEAKER



Alexander Tollast

Counsel, Clifford Chance



Elisabeth Noble

Senior Policy Expert and MiCA Project Team Leader, European Banking Authority (EBA)



Mark Williamson

MD, Global Head of FX Partnerships and Propositions, HSBC



Sabih Behzad

Global Head of Digital Assets and Currencies Transformation, Deutsche Bank AG

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14:15 / [Panel discussion](#)  
T+1 Settlement

Stream B - Post Trade

## OVERVIEW

- / Should Europe follow the US in adopting T+1?
- / What are the key opportunities and challenges?
- / Is this an inevitable step towards T+0?

## MODERATOR



Sonia Paston-Bedingfeld

Executive Director – Post Trade & Custody Strategy, Network Management, UBS

## SPEAKER



Andrew Douglas

Managing Director, Government Relations for EMEA and Asia, DTCC



Pardeep Cassells

Head of Securities and Claims, AccessFintech



Sachin Mohindra

Executive Director, Market Solutions, Goldman Sachs

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15:00 / [Panel discussion](#)  
The Regulation and Governance of Artificial Intelligence

Stream A - Technology and Innovation

## OVERVIEW

- / How will the EU regulatory proposals fit within the global context of AI?
- / How to operationalise ethics in data and AI?
- / What are the opportunities for financial institutions?

## MODERATOR

Tola Gbadebo

Interim Associate Director, CCA and TO, AFME

## SPEAKER



Andrei Ilchenko

15:00 / [Panel discussion](#)  
The Future of Central Clearing

Stream B - Post Trade

## OVERVIEW

- / What impact will new technology, such as DLT, have on current clearing models?
- / Interoperability for cash equities: Is 3 the magic number?
- / Is there any sign of clearing balances shifting towards the EU?
- / How can the EU improve the attractiveness of its clearing markets?

## MODERATOR



Pablo Portugal

Managing Director, Advocacy, AFME

## SPEAKER



Haroun Boucheta

Head of Public Affairs, BNP Paribas Securities Services



Ivan Gilmore

Head of Cash Equities, LCH Ltd and LCH SA



Laura Bayley

Head Clearing Services, SIX



María José Gómez Yubero

Head of Resolution, Benchmarks and Financial Stability, Spanish National Securities Market Commission (CNMV)

15:45 / Networking Coffee Break

16:10 / [Presentation](#)  
The Workplace of the Future

## SPEAKER



Nick Jankel

Co-founder, Switch On and Co-founder, FutureMakers

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16:30 / [Panel discussion](#)  
The Workplace of the Future

## OVERVIEW

- / How have firms managed the transition to hybrid working?
- / Can Financial Services compete with Big Tech to attract the best new talent?
- / How can we build diversity, equity and inclusion in the workplace?

## MODERATOR



Janine Hirt

CEO, Innovate Finance

## SPEAKER



Nick Jankel

Co-founder, Switch On and Co-founder, FutureMakers



Peter Richardson

Managing Director, Business Process Improvement, Protiviti



Sarah Guerra

Head of Division: Culture, Diversity and Inclusion, Bank of England

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17:10 / Closing Remarks

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## ***Day 3 - Wednesday, 18 October 2023***

08:15 / Registration & refreshments

09:00 / Opening remarks

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09:15 / [Keynote address](#)  
Digital Assets

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