

2nd Annual Capital Markets Technology and Innovation Conference

21 November 2019

Day 1 - Wednesday, 20 November 2019

18:00 / VIP and speakers' drinks reception

SPEAKER

Steven Maijoor

Chair, ESMA

Day 2 - Thursday, 21 November 2019

10:00 / Welcome registration and refreshments

10:30 / Opening remarks

SPEAKER

James Kemp

Managing Director, AFME and GFMA

10:35 / [Keynote address](#)
The Long-Term Impact and Opportunity of New Technology for Financial Markets

SPEAKER



Arnaud de Bresson

Chief Executive Officer, Paris EUROPLACE



Odile Renaud-Basso

General Director, Ministry of Economy and Finance, French Treasury

11:00 / [Panel discussion](#)
Turning Disruption to Your Advantage: The Investment Bank of the Future

OVERVIEW

- / What opportunities and challenges are arising as the result of disruption?
- / How are banks transforming today: where, why, and what the results?
- / What will the business models of tomorrow look like?
- / What are the critical ingredients to success as the financial services ecosystem develops?

MODERATOR



Isabelle Jenkins

Banking & Capital Markets Leader, PwC

SPEAKER



Director, Capital Markets Strategy, SWIFT



Cecile Bartenieff

Chief Operating Officer of Global Banking & Investor Solutions, Société Générale



David John Gledhill

Senior Advisor, DBS Bank



Gordon Grant

Head of European Client Operations, Morgan Stanley

11:45 / Making Digital Transformation a Reality in Capital Markets

SPEAKER



Stephan Schmidt-Tank

Head of Business & Market Development, AWS

12:05 / Networking lunch

13:05 / [Panel discussion](#)
Building an Industry Approach for Achieving Operational Resilience

OVERVIEW

- / How does Operational Resilience need to be considered within firms and by regulators?
- / How do we identify Critical Business Services?
- / How do we set impact tolerances?
- / What are the emerging regional and global trends (policy and implementation)?

MODERATOR



Ron Lefferts

Managing Director, Global Leader, Technology Consulting, Protiviti

SPEAKER



Arthur Lindo

Deputy Director for Policy, Federal Reserve Board's Division of Supervision and Regulation



Graeme Munro

Managing Director, Digital and Platform Services – Corporate & Investment Bank, J.P. Morgan



Jeff Kuhn

Global Head of Enterprise Resiliency Office, EVP, BNY Mellon



Nicola Russell

Senior Operational Resiliency SME, HSBC

13:45 / Interval

13:55 / [Panel discussion](#)
AI, Use Cases and the Regulatory Implications

OVERVIEW

- / Where is AI being applied in European and global wholesale capital markets?
- / Is the current policy and regulatory framework fit for purpose?
- / What level of 'explainability' do we need for AI?
- / What are the risks, and controls required, for responsible AI?

MODERATOR



Terence Tse
Professor, ESCP Europe Business School

SPEAKER



Dr Lewis Liu
Co-founder and CEO, Eigen Technologies



Janet Adams
Head of Risk and Controls, Head of AI, Business Banking, TSB Bank



Leigh Bates
Data & Analytics Leader, Financial Services, PwC



Sotiris Manderis
Managing Director, Head of Industry Initiatives & Consortiums Corporate and Institutional Digital, HSBC



Su Yang
Fintech Expert, ACPR/Banque de France

13:55 / [Panel discussion](#)
Are we doing enough to embrace innovation in Financial Services?

OVERVIEW

- / What has been accomplished? What future lies ahead?
- / How does Europe compare to other jurisdictions? What is driving the difference?
- / What else will be needed in the years ahead (investment, policy, partnerships)?

MODERATOR



Nikki Johnstone

Senior Associate, Allen & Overy

SPEAKER



Adriana Ennab

Director, Public Policy, Credit Suisse



Nicole Sandler

Innovation Policy Global Lead, Barclays

Slavka Eley

Head of Banking Markets, Innovation and Products, EBA



Yuko Kawai

General Manager for Europe, Bank of Japan

14:40 / Interval

14:50 / [Keynote address](#)
Building Growth and Innovation into Europe's Capital Markets

SPEAKER



Denis Beau

First Deputy Governor, Banque de France

15:10 / Networking break

15:40 / [Panel discussion](#)
Outsourcing and Cloud Computing: Where Are We?

OVERVIEW

- / What industry benefits are being seen today from Cloud Computing adoption?
- / How has recent policy or regulation supported Cloud adoption?
- / What are longer-term concerns on Cloud Computing, and Outsourcing, and what measures may be required?
- / How can the industry best continue to pursue Outsourcing and manage risk?

MODERATOR



Nick Weisfield

FS Cloud Transformation Lead, PwC

SPEAKER

Bernd Rummel

Senior Policy Expert, EBA



David Wallace

CIO (Head of IT and Facilities Management), European Stability Mechanism



Joe Tan

Legal Counsel, Google Cloud



Patrick Maes

Managing Director – Global Head of Bank User Solutions, Credit Suisse



Witte Wijsmuller

Policy Officer, Member of the Fintech Taskforce, European Commission

15:40 / [Panel discussion](#)
The Regulatory Implications for Digital Assets in Europe

OVERVIEW

- / How do policymakers view and consider Digital Assets and their future role and regulation in capital markets?
- / The need for common taxonomy and global harmonisation
- / The key issues for the industry, and the technology, that need to be addressed

MODERATOR



Richard Hay

UK Head of Fintech, Linklaters

SPEAKER



Adrien Delcroix

Market Infrastructure Expert, ECB



Daniel Heller

Head of Regulatory Affairs, Fnality International



Swen Werner

Managing Director, Global Product Manager, State Street

Teana Baker-Taylor

Executive Director, Global Digital Finance



Teunis Brosens

Lead Economist for Digital Finance and Regulation, ING

16:25 / Interval

16:35 / [Keynote address](#)
Technology and Innovation: The Opportunities and Challenges for Policymakers

17:05 / Closing remarks

SPEAKER



David Ostojitsch

Director, Technology and Operations Division, AFME



Graeme Munro

Managing Director, Digital and Platform Services – Corporate & Investment Bank, J.P. Morgan

17:10 / Conference drinks reception

Day 3 - Friday, 22 November 2019

08:30 / Registration and refreshments

08:45 / Opening remarks

SPEAKER

Michael Cole-Fontayn

Chairman, AFME

08:50 / [Keynote address](#)
Regulatory Answers to Technology Challenges

09:20 / [Keynote address](#)
What Are Innovators in Capital Markets Doing Well?

09:50 / Interval

10:00 / [Panel discussion](#)
Is a More Connected Capital Markets a More Secure One?

OVERVIEW

- / Current EU and global policy developments
- / What is the emerging threat landscape?
- / How are public and private agencies evolving?

MODERATOR

Emmanuel Le Marois

Associate Director, Technology and Operations, AFME

SPEAKER



Andra Catincescu
CISO UK Regulatory Specialist, Deutsche Bank



Barbara Daskala
Senior Supervision Officer, ESMA

Deborah Eng

Executive Director, J.P. Morgan



Steven Purser
Head of Core Operations Department, European Union Agency for Cybersecurity



Subas Roy

10:00 / [Panel discussion](#)
The Next Stage for Industry Shared Platforms, Collaboration and Operational Efficiency

OVERVIEW

- / The changing landscape of utilities, technology providers, and their impact on bank operating models
- / How and where can industry cost and efficiency gains can be made?
- / Why do shared platforms often fail to materialise?
- / What is the role regulation for supporting shared platforms?

MODERATOR



James Morgan
Capital Markets Technology Partner, PwC

SPEAKER



Bob Santangelo

President of International Sales, Broadridge

10:40 / Networking break

SPEAKER



David Ostojitsch

Director, Technology and Operations Division, AFME

11:00 / [Panel discussion](#)
The Scaling of DLT in Capital Markets and The Role of Governance and Standards

OVERVIEW

- / Where has DLT started to be scaled: Is progress being made in the industry?
- / What learnings have been gained from early use-cases?
- / Are governance and standards being addressed to enable DLT? What is required?
- / What policy and regulatory considerations still remain for the use of DLT?

MODERATOR



Jennifer D'Hoir

Senior Advisor, Innovation & FinTech, Gide Law Firm

SPEAKER



Andres Choussy

President and COO, Baton Systems

Panel discussion

11:40 / Realising our Digital Potential

OVERVIEW

- / How to adopt Fintech and introduce innovation in a world of legacy systems and processes
- / The importance of agile working and the realities in practice
- / How, and where, are new technologies significantly changing existing roles and skills?

MODERATOR



Tram Anh Nguyen

Co-Founder, CFTE

SPEAKER



Ben Rayner

Head of Productivity and Innovation, Capital Markets O&T, Citi



Ben Roles

Director of Technical Solutions, EMEA/APAC, Introhive



Matthew Howse

Partner, Morgan Lewis



Philip Saunders

Managing Director, Goldman Sachs



Piya Khanna

Head of Global Markets Technology and Process Group, Crédit Agricole, CIB

12:20 / Closing remarks

SPEAKER

12:25 / Conference close
David Ostojitsch



Director, Technology and Operations Division, AFME